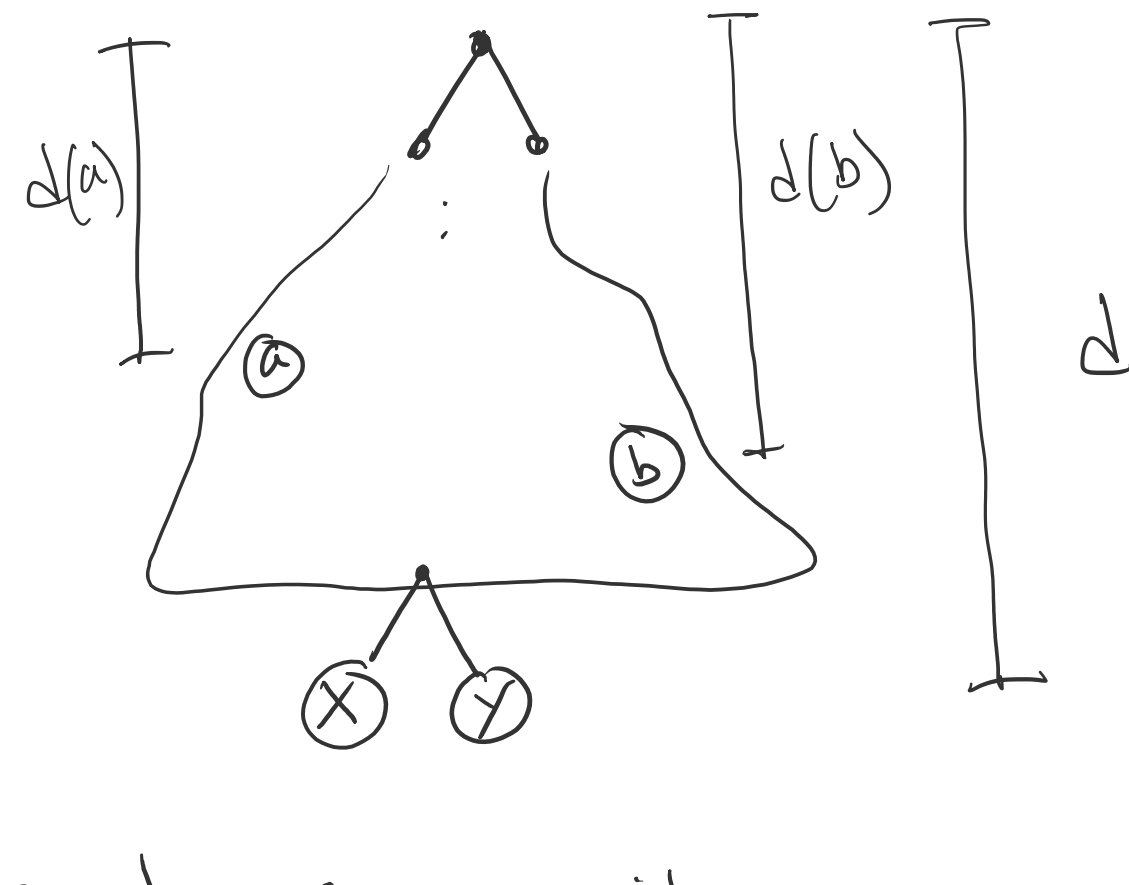


- Goals:
- "Finish" proving Huffman's algorithm is optimal
  - Understand Knapsack Problem
  - Review Dynamic Programming Approach
- Built-world accessibility barriers

Lemma 1: There is an optimal tree for  $\Sigma$  with  $a, b$  siblings.

Let  $T'$  be any optimal tree without  $a, b$  siblings.

Let  $x, y$  be sibling leafs in  $T'$  at maximum depth.



If we exchange positions  $x \leftrightarrow a$  and  $y \leftrightarrow b$ , then new tree  $T_{ex}$  will have the same or smaller average length as  $T'$  because... Thus,...

## 8: Knapsack

Friday, April 2, 2021 11:51 AM

### Knapsack Problem

Input: •  $n$  items

- value  $v_i$   
- cost  $c_i$  (volume taken up)  $\} \in \mathbb{Z}^+$

• Capacity  $C$

Output: A subset  $S \subseteq \{1, 2, \dots, n\}$  that maximizes value

$$V(S) = \sum_{i \in S} v_i \quad \Leftarrow \text{objective function}$$

and satisfies

$$C(S) = \sum_{i \in S} c_i \leq C \quad \Leftarrow \text{constraints}$$

Ex:  $C = 5$

Item	Value	Cost
1	6	2
2	5	1
3	8	3
4	7	4
5	7	4

one of each item

← adding a second copy of item 4

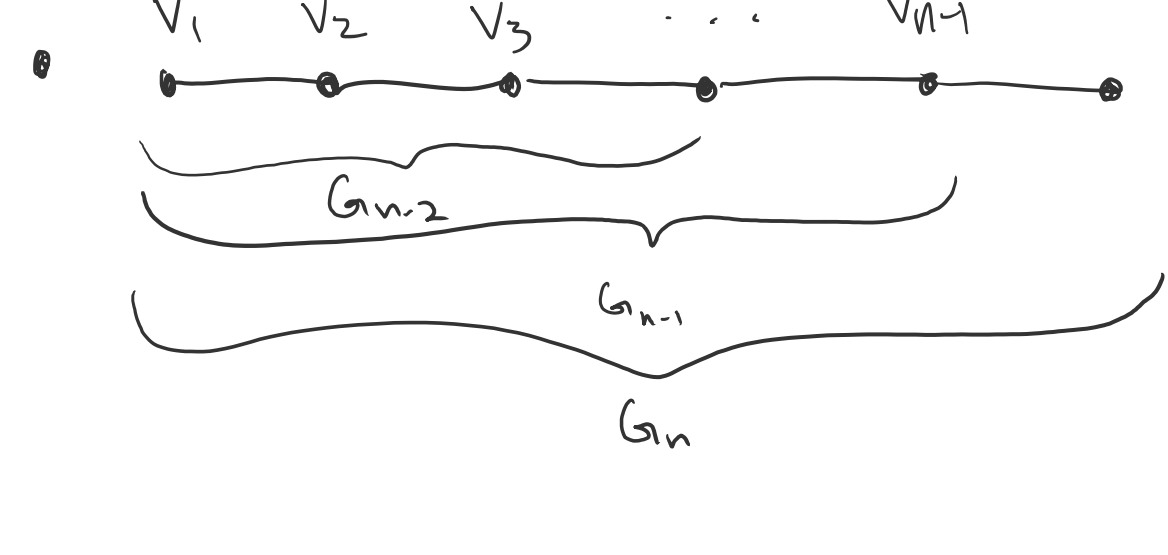
What is optimal  $S$ :

A)  $\{1, 3\}$  B)  $\{1, 4\}$  C)  $\{1, 2, 3\}$  D)  $\{2, 3\}$

## Designing a Dynamic Programming Alg.

0. Create series of increasingly smaller subproblems

Recurrence object: optimal output of each subproblem



$S_i = \text{MWS of } G_i$

•  $n$  cents  
 $n-1$  cents  
;

$M_i = \text{optimal coins to make } i \text{ cents}$

1. Think about cases for "final elements" of recurrence object

•  $n \in S_n$  or  $n \notin S_n$

• last coin was 1, 4, or 6.

2. For each case, create recurrence in terms of smaller recurrence objects

$$S_i = \begin{cases} S_{i-1} \\ S_{i-2} \cup \{v_n\} \end{cases} \times \text{B.C.}$$

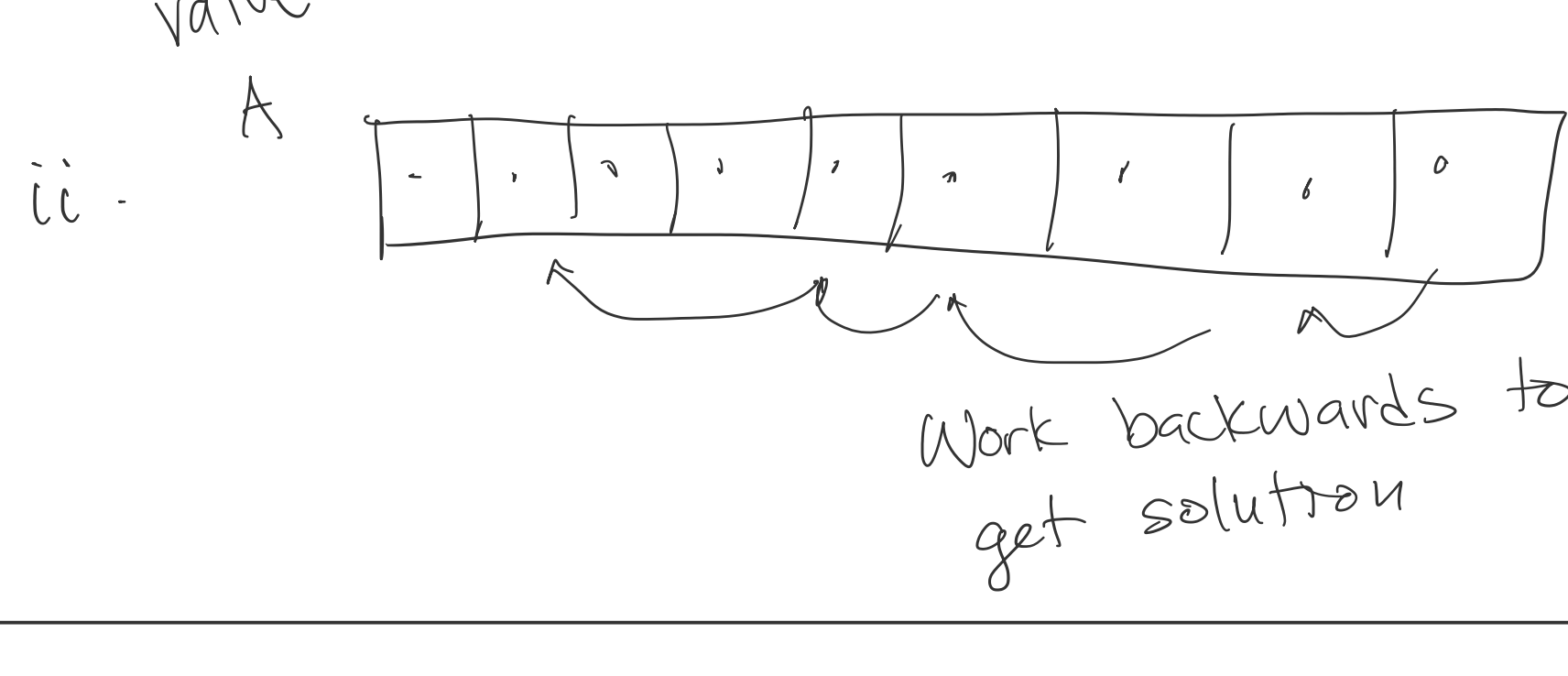
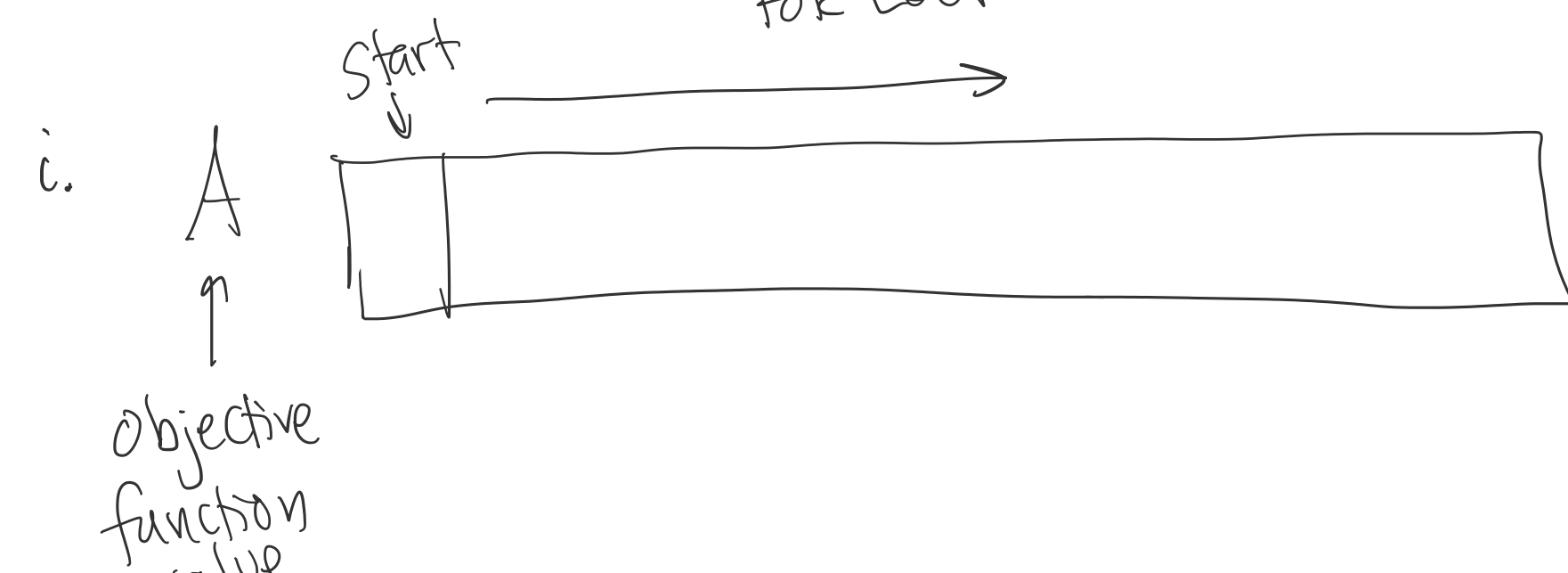
$$M_i = (M_{i,1}, M_{i,4}, M_{i,6}) = \begin{cases} \end{cases}$$

3. Convert into recurrence for objective function

$$W(S_n) = \max \{ W(S_{i-1}), W(S_{i-2}) + w_n \} \times \text{B.C.}$$

$$C(n) = \min \{ \dots \} \times \text{B.C.}$$

4. Pseudocode:

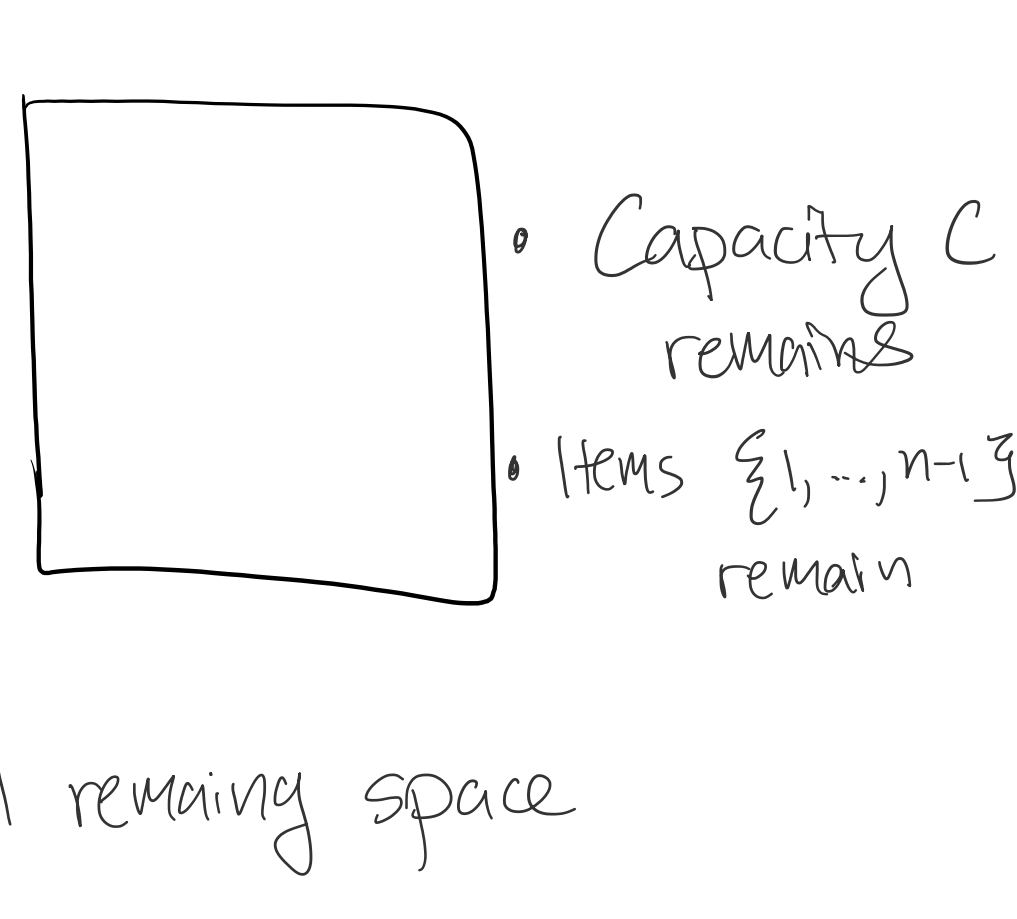
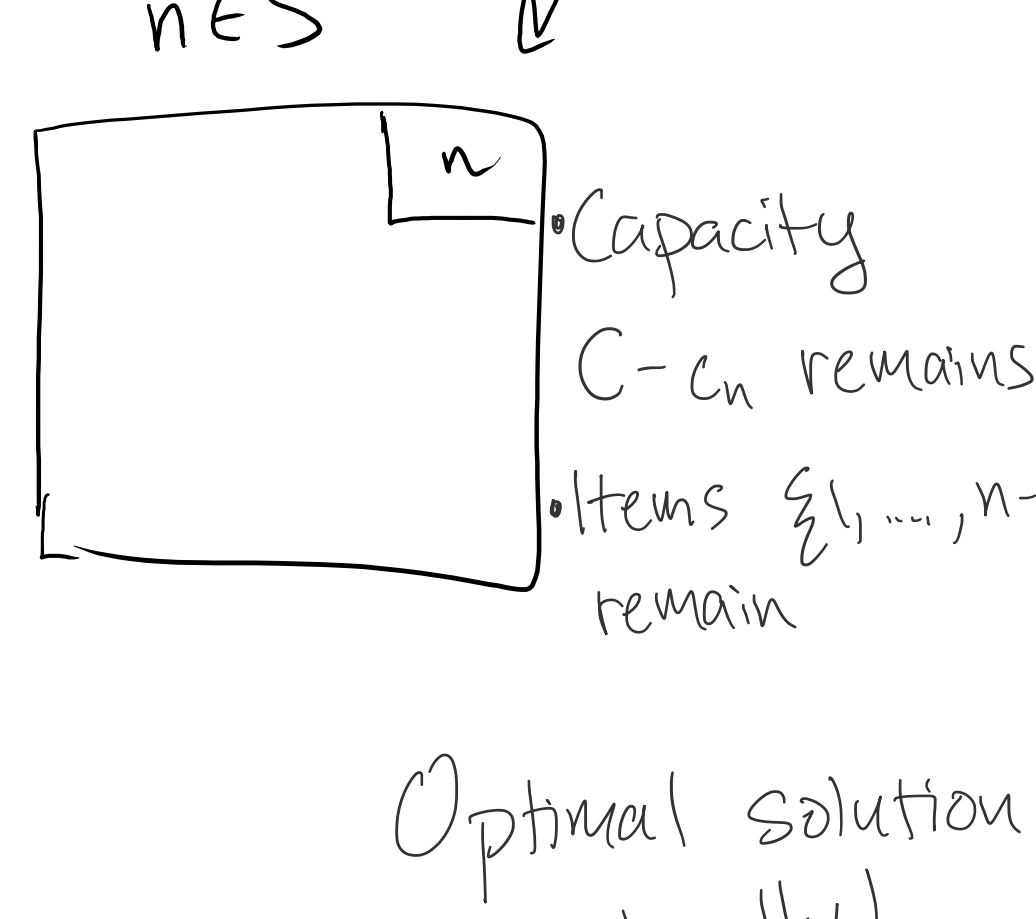
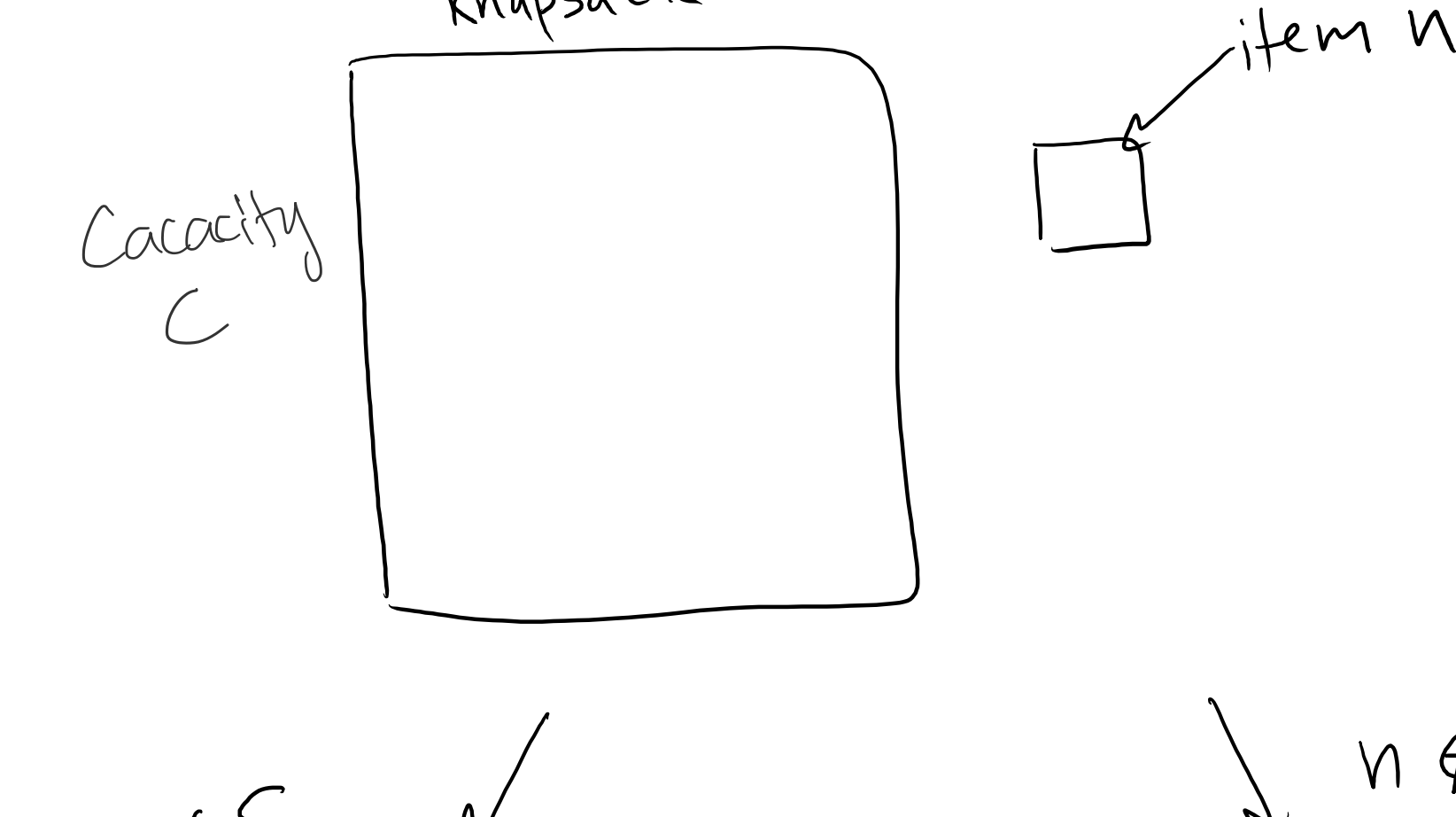


Store  $w(S_n)$   
 $C(n)$

In this case, better to start with step 1, b/c not clear what the relevant subproblems are.

Cases for final element? Ideas?

$n \in S_n$  vs  $n \notin S_n$



Optimal solution should fill remaining space optimally!

Relevant subproblem:  $\text{Knapsack}(i, r)$   
↑ items 1 to i allowed  
↑ capacity  $r$  (room)

Recurrence Object:  $S_{i,r}$  = optimal set of items if can only include items  $\{1, \dots, i\}$ , capacity  $r$

What is  $S_{2,4}$

A)  $\{1, 1\}$

→ B)  $\{1, 2\}$

C)  $\{2, 3\}$

D)  $\{4\}$

Item	Value	Cost
1	6	2
2	5	1
3	8	3
4	7	4