

FACTORING

Learning Goals

- Analyze a multi-qubit algorithm
- Become familiar with Shor's factoring algorithm (most famous q. alg)

Announcements

Participation

- Psets
- Exit Tickets if absent

Post-quantum
crypto

Discussion Tuesday

Hype article unrelated to project part
Quantum Alg Learning Target = This! Practice!!!

BIG PICTURE → Crypto Important

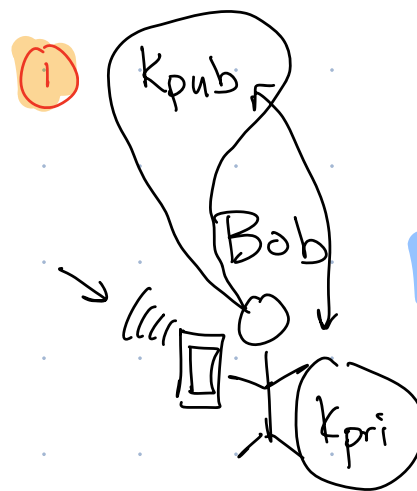
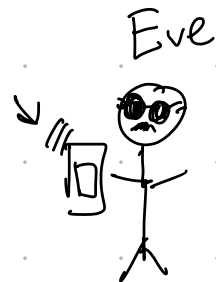
Quant. Computers

Solve Period Finding

Factoring Breaks Crypto

Period Finding Solves Factoring

Public Key Crypto (RSA)



④

$$m = g(\bar{m}, k_{pri})$$

⑥ Secret message
 $m \in \{0,1\}^n$

Eve has access to: $\bar{m}, k_{pub}, f, g$ direct
If she could solve a hard math problem $\rightarrow m$ No access: m, k_{pri}

6701128736....

617 digits

\$200K prize

$$= a \times b$$

?

\$100K for 308 digit



250 digit

Quantum Computers Can Efficiently Factor

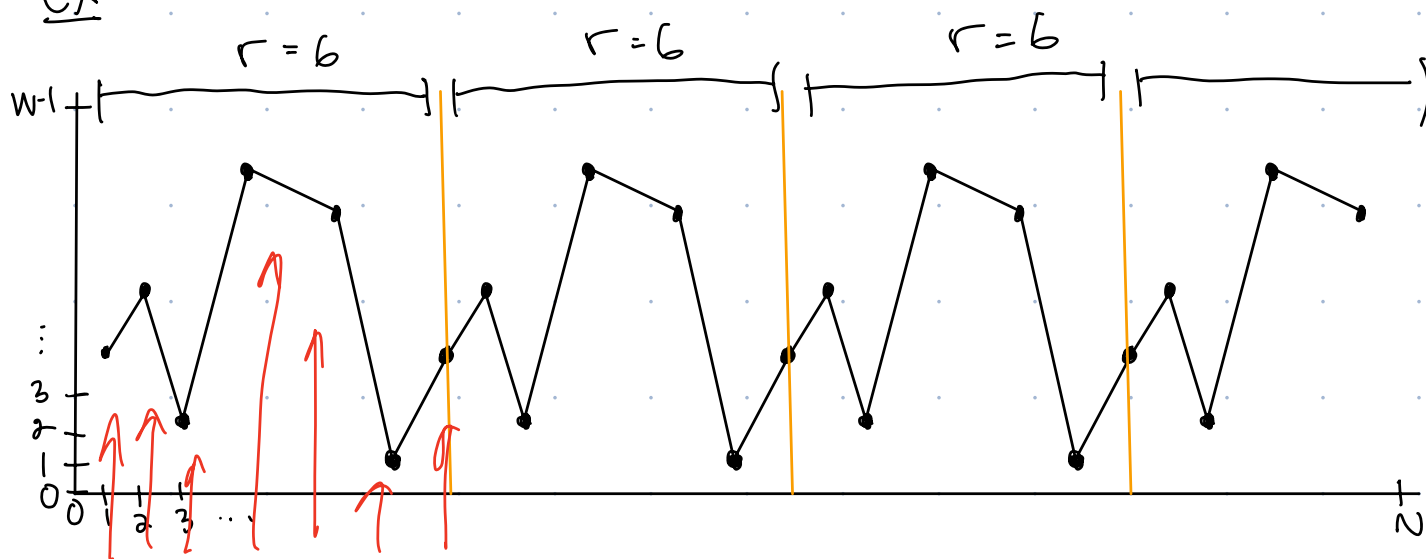
Factoring reduces (via number theory) to period finding:

Period Finding Problem

Input: Query access to $f: \{0, 1, 2, \dots, N-1\} \rightarrow \{0, 1, 2, \dots, W-1\}$

- f^0 has a period r (unknown to you)
- no repeated values within a period
- $N > r^2$ (many repeats)

ex:



Output: r

What is the classical query complexity of ~~factoring~~?
period finding

A) $O(\sqrt{r})$

B) $O(r)$

C) $O(r^2)$

D) $O(n)$

Bits to Digits

Classically, we code using base 10, not binary. We'll do same:

$$011 \leftrightarrow 3$$

$$|011\rangle \leftrightarrow |3\rangle$$

$|0011\rangle$

2^4
↑
16-dimensional

Standard Basis States:

$$\{|00\rangle, |01\rangle, |10\rangle, |11\rangle\}$$

$$\{|0\rangle, |1\rangle, |2\rangle, |3\rangle, \dots, |N\rangle\}$$

N-dimensional system

$$\sum_{i \in \{0,1\}^m} |i\rangle \leftrightarrow \sum_{j=0}^{2^m-1} |j\rangle$$

Ambiguity:

$$|3\rangle \rightarrow |11\rangle$$

$$|3\rangle \rightarrow |011\rangle$$

$$|3\rangle \rightarrow |000011\rangle$$

If $|3\rangle$ is an N-dimensional state, how many qubits are in the system?

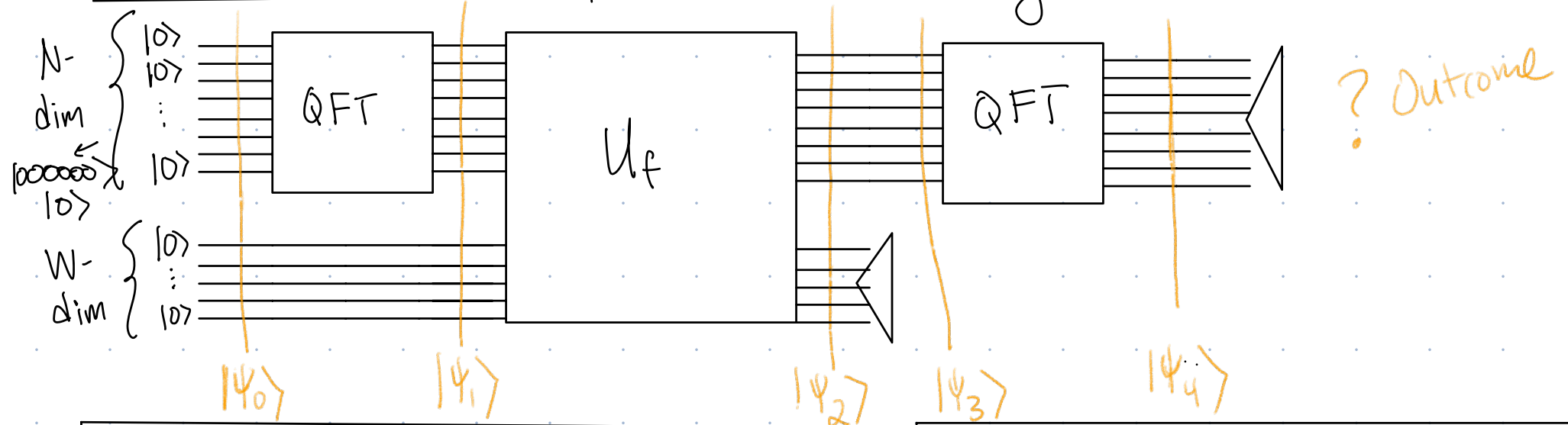
A) $\lceil \log_2 3 \rceil$

B) 3

C) $\lceil \log_2 N \rceil$

D) N

Quantum Circuit for Period Finding



QFT: Quantum Fourier Transform

$$|x\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i xy/N} |y\rangle$$

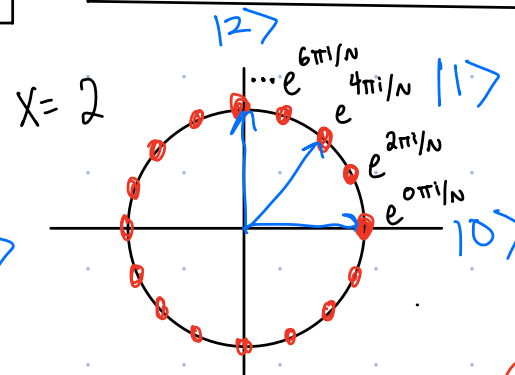
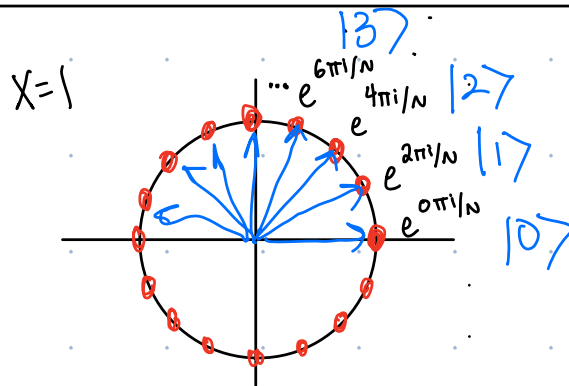
$\uparrow$
N-dim
standard basis state

U_f :

$$|x\rangle |y\rangle = |x\rangle |f(x) + y \bmod w\rangle$$

$\uparrow$ $\uparrow$
N-dim W-dim
s.b. states

Phase of
each s.b.
state in
superposition



$$\text{QFT}|0\rangle = ?$$

$$= \sum_{y=0}^{N-1} \frac{1}{\sqrt{N}} |y\rangle$$

go/fourier-transform

Reminder: $U \left(\sum_i a_i |i\rangle \right) = \sum_i a_i U |i\rangle$

$\triangleleft$ = Standard
basis
measurement

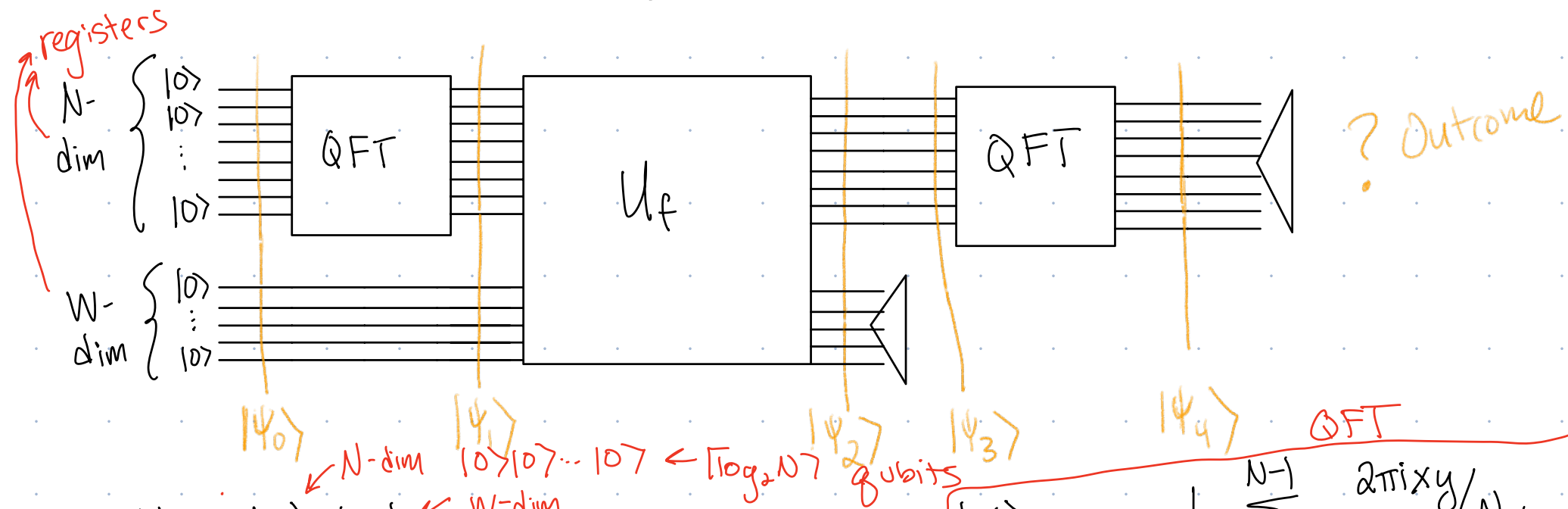
- Measure entire state:

$$|\psi\rangle = \sum_{x=0}^{N-1} a_x |x\rangle \Rightarrow \text{Prob of outcome } |x^*\rangle \text{ is } |a_{x^*}|^2$$

- Partial measurement (B system)

- Factor standard basis states of measured register
- Collapse + renormalize

Group Exercise: Analyze!



$$|\psi_0\rangle = |0\rangle |0\rangle$$

$$|\psi_1\rangle = (\text{QFT} \otimes I) |0\rangle |0\rangle = (\text{QFT} |0\rangle) \otimes |0\rangle$$

$$= \left(\frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i \cdot 0 \cdot y/N} |y\rangle \right) \otimes |0\rangle = \left(\frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} |y\rangle \right) \otimes |0\rangle$$

$$|\psi_2\rangle = U_f \left[\left(\frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} |y\rangle \right) \otimes |0\rangle \right]$$

$$= \frac{1}{\sqrt{N}} \left[|0\rangle + |1\rangle + |2\rangle + \dots + |N-1\rangle \right] |0\rangle$$

$$|x\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i x y / N} |y\rangle$$

$$|x\rangle |y\rangle = |x\rangle |f(x) + y \bmod W\rangle$$

$$= U_f \frac{1}{\sqrt{N}} (|0\rangle|0\rangle + |1\rangle|0\rangle + |2\rangle|0\rangle + \dots + |N-1\rangle|0\rangle)$$

$$= U_f \frac{1}{\sqrt{N}} \left(\sum_{y=0}^{N-1} |y\rangle|0\rangle \right)$$

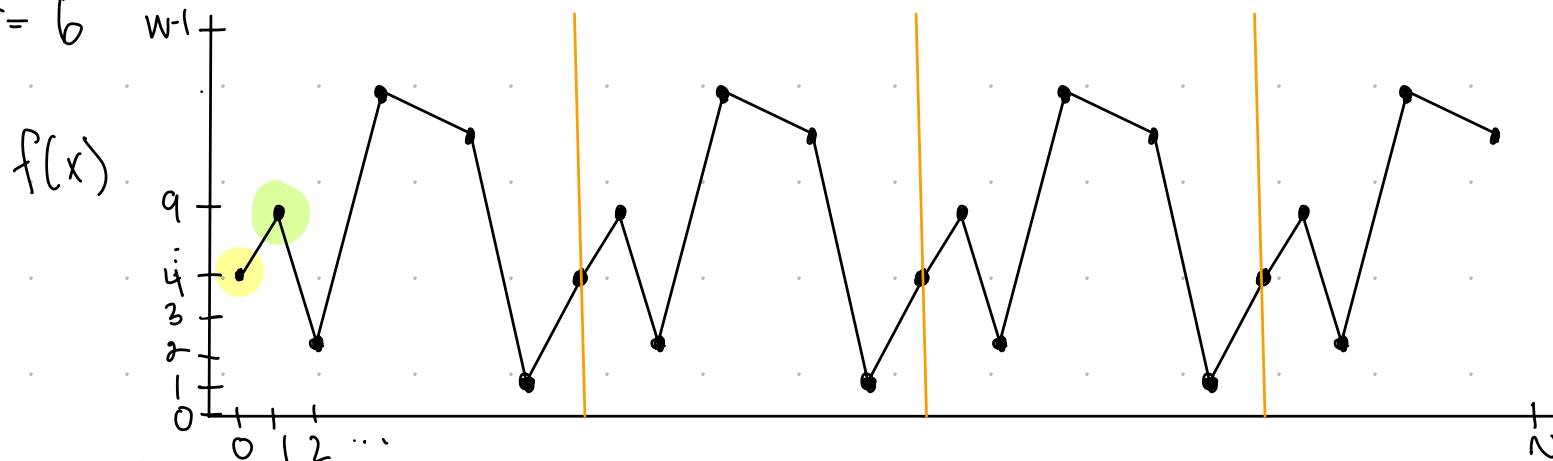
$$= \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} U_f |x\rangle|0\rangle$$

$$= \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle|0 + f(x) \bmod w\rangle$$

$$= \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle|f(x)\rangle$$

ex:

$r=6$



$$\frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle |f(x)\rangle =$$

$$\frac{1}{\sqrt{N}} \left(|0\rangle_A |4\rangle_B + |1\rangle_A |9\rangle_B + |2\rangle_A |2\rangle_B + |3\rangle_A |13\rangle_B + |4\rangle_A |12\rangle_B + |5\rangle_A |11\rangle_B + \right. \\ \left. |6\rangle_A |4\rangle_B + |7\rangle_A |9\rangle_B + |8\rangle_A |2\rangle_B + |9\rangle_A |13\rangle_B + |10\rangle_A |12\rangle_B + |11\rangle_A |11\rangle_B + \right. \\ \left. |12\rangle_A |4\rangle_B + |13\rangle_A |9\rangle_B + |14\rangle_A |2\rangle_B + |15\rangle_A |13\rangle_B + |16\rangle_A |12\rangle_B + |17\rangle_A |11\rangle_B + \dots \right)$$

measure
 $|9\rangle$
collapse

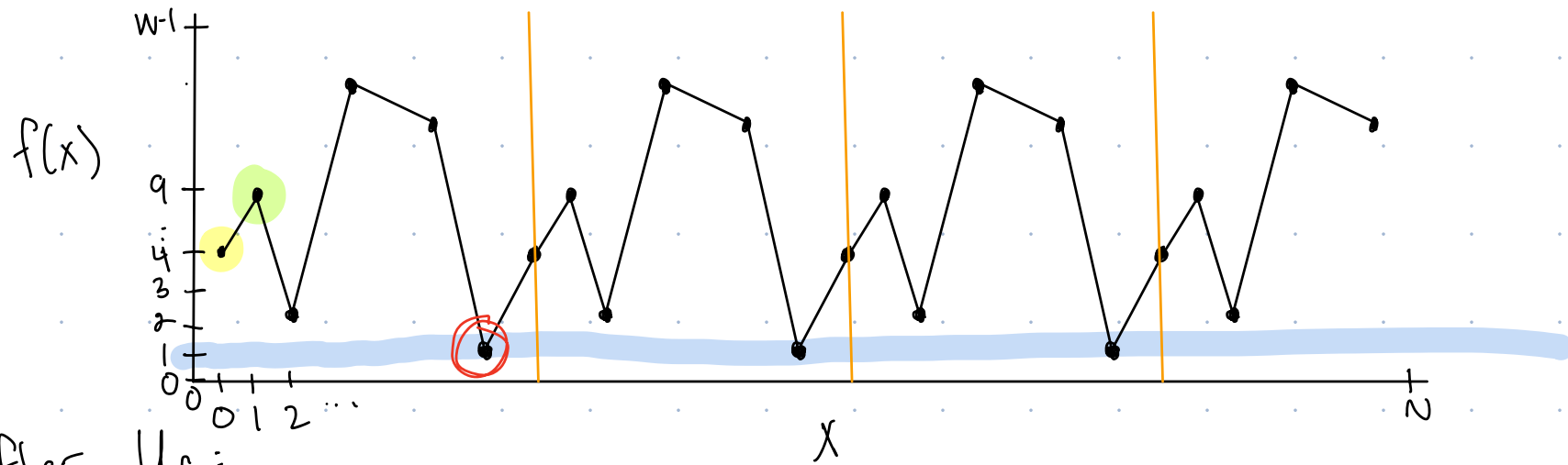
measure
 $|13\rangle$
collapse

$$\frac{1}{\sqrt{P(9)}} \left(\frac{1}{\sqrt{N}} |1\rangle + \frac{1}{\sqrt{N}} |7\rangle + \frac{1}{\sqrt{N}} |13\rangle + \dots \right) |9\rangle$$

$$\frac{1}{\sqrt{P(13)}} \left(\frac{1}{\sqrt{N}} |3\rangle + \frac{1}{\sqrt{N}} |9\rangle + \frac{1}{\sqrt{N}} |15\rangle + \dots \right) |13\rangle$$

HOW TO REPRESENT THE COLLAPSED STATE?

$r=6$



After U_f :

$$\frac{1}{\sqrt{N}} \left(|0\rangle_A |4\rangle_B + |1\rangle_A |9\rangle_B + |2\rangle_A |2\rangle_B + |3\rangle_A |13\rangle_B + |4\rangle_A |12\rangle_B + |5\rangle_A |1\rangle_B + \right. \\
|6\rangle_A |4\rangle_B + |7\rangle_A |9\rangle_B + |8\rangle_A |2\rangle_B + |9\rangle_A |13\rangle_B + |10\rangle_A |12\rangle_B + |11\rangle_A |1\rangle_B + \\
\left. |12\rangle_A |4\rangle_B + |13\rangle_A |9\rangle_B + |14\rangle_A |2\rangle_B + |15\rangle_A |13\rangle_B + |16\rangle_A |12\rangle_B + |17\rangle_A |1\rangle_B + \dots \right)$$

If measure outcome $|y\rangle$ in 2nd register, let b^* be the value such that:

- $f(b^*) = y$
- b^* is in the first period.

} define b^*

If outcome is $|1\rangle$, what is b^* for above f ?

- A) 0 B) 1 C) 5 D) No b^* exists.

$$\frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle |f(x)\rangle =$$

$$\frac{1}{\sqrt{N}} \left(\underset{A}{|0\rangle} \underset{B}{|4\rangle} + \underset{A}{|1\rangle} \underset{B}{|9\rangle} + \underset{A}{|2\rangle} \underset{B}{|2\rangle} + \underset{A}{|3\rangle} \underset{B}{|13\rangle} + \underset{A}{|4\rangle} \underset{B}{|12\rangle} + \underset{A}{|5\rangle} \underset{B}{|1\rangle} + \right. \\ \left. |6\rangle |4\rangle + |7\rangle |9\rangle + |8\rangle |2\rangle + |9\rangle |13\rangle + |10\rangle |12\rangle + |11\rangle |1\rangle + \right. \\ \left. |12\rangle |4\rangle + |13\rangle |9\rangle + |14\rangle |2\rangle + |15\rangle |13\rangle + |16\rangle |12\rangle + |17\rangle |1\rangle + \dots \right)$$

measure
|9>
collapse

measure
collapse
|13>

$$\begin{aligned} & \rightarrow \frac{1}{\sqrt{P(9)}} \left(\frac{1}{\sqrt{N}} |1\rangle + \frac{1}{\sqrt{N}} |7\rangle + \frac{1}{\sqrt{N}} |13\rangle + \dots \right) |9\rangle \\ & \rightarrow \frac{1}{\sqrt{P(13)}} \left(\frac{1}{\sqrt{N}} |3\rangle + \frac{1}{\sqrt{N}} |9\rangle + \frac{1}{\sqrt{N}} |15\rangle + \dots \right) |13\rangle \end{aligned}$$

b^*

$b^* + r$

$b^* + 2r$

$$\frac{1}{\sqrt{P(f(b^*))}} \frac{1}{\sqrt{N}} \sum_{m=0}^{\frac{N}{r}-1} |b^* + m r\rangle |f(b^*)\rangle$$

period

ignore

$\frac{N}{r} = \# \text{ of repeats of periods}$

$$\frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle |f(x)\rangle =$$

$$\frac{1}{\sqrt{N}} \left(\underset{A}{|0\rangle} \underset{B}{|4\rangle} + \underset{A}{|1\rangle} \underset{B}{|9\rangle} + \underset{A}{|2\rangle} \underset{B}{|2\rangle} + \underset{A}{|3\rangle} \underset{B}{|13\rangle} + \underset{A}{|4\rangle} \underset{B}{|12\rangle} + \underset{A}{|5\rangle} \underset{B}{|1\rangle} + \right. \\ \left. |6\rangle |4\rangle + |7\rangle |9\rangle + |8\rangle |2\rangle + |9\rangle |13\rangle + |10\rangle |12\rangle + |11\rangle |1\rangle + \right. \\ \left. |12\rangle |4\rangle + |13\rangle |9\rangle + |14\rangle |2\rangle + |15\rangle |13\rangle + |16\rangle |12\rangle + |17\rangle |1\rangle + \dots \right)$$

measure
|9>
collapse

measure
|13>
collapse

$$\frac{1}{\sqrt{p(9)}} \left(\frac{1}{\sqrt{N}} |1\rangle + \frac{1}{\sqrt{N}} |7\rangle + \frac{1}{\sqrt{N}} |13\rangle + \dots \right) |9\rangle$$

$$\frac{1}{\sqrt{p(13)}} \left(\frac{1}{\sqrt{N}} |3\rangle + \frac{1}{\sqrt{N}} |9\rangle + \frac{1}{\sqrt{N}} |15\rangle + \dots \right) |13\rangle$$

Generally: $\frac{1}{\sqrt{p(f(b^*))}} \frac{1}{\sqrt{N}} \sum_{m=0}^{N/r-1} |b^* + mr\rangle |f(b^*)\rangle$

Add abs squared of amplitudes in this part

$$= \left| \frac{1}{\sqrt{N}} \right|^2 + \left| \frac{1}{\sqrt{N}} \right|^2 + \dots \left| \frac{1}{\sqrt{N}} \right|^2 = (\#) \cdot \frac{1}{N} = \frac{N}{r} \cdot \frac{1}{N} = \frac{1}{r}$$

Suppose measure outcome $|f(b^*)\rangle$

$$|\psi_3\rangle = \frac{1}{\sqrt{\frac{1}{r}}} \frac{1}{\sqrt{N}} \sum_{m=0}^{\frac{N}{r}-1} |b^* + mr\rangle$$

$$= \sqrt{\frac{r}{N}} \sum_{m=0}^{\frac{N}{r}-1} |b^* + mr\rangle$$

$$|\psi_4\rangle = \sqrt{\frac{r}{N}} \sum_{m=0}^{\frac{N}{r}-1} \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i y (b^* + mr)/N} |y\rangle$$

$$\underbrace{m=0}_{\text{}} \quad \underbrace{m=1}_{\text{}} \quad \underbrace{m+2}_{\text{}}$$

$$\omega |0\rangle + \omega |1\rangle + \omega |2\rangle + \dots + \omega |N-1\rangle + \omega |0\rangle + \omega |1\rangle + \dots + \omega |N-1\rangle + \dots$$

Switch order of summation:

$$= \sqrt{\frac{r}{N}} \sqrt{\frac{1}{N}} \sum_{y=0}^{N-1} \left(\sum_{m=0}^{\frac{N}{r}-1} e^{2\pi i y (b^* + mr)/N} \right) |y\rangle$$

$$e^{2\pi i y b^*/N} e^{2\pi i y mr/N}$$

Factor out $e^{2\pi i y b^*/N}$

$$|\psi_4\rangle = \frac{\sqrt{r}}{N} \sum_{y=0}^{N-1} \left(e^{2\pi i y b^*/N} \sum_{m=0}^{\frac{N}{r}-1} e^{2\pi i y m r/N} \right) |y\rangle$$

$$= \sum_{y=0}^{N-1} \frac{\sqrt{r}}{N} e^{2\pi i y b^*/N} \sum_{m=0}^{\frac{N}{r}-1} \left(e^{2\pi i y r/N} \right)^m |y\rangle$$

← Pull m out of exponent

Prob. of outcome y : $\left| \frac{\sqrt{r}}{N} e^{2\pi i y b^*/N} \sum_{m=0}^{\frac{N}{r}-1} \left(e^{2\pi i y r/N} \right)^m \right|^2$

Geometric Series:

$$\sum_{m=0}^{t-1} a^m$$

In our case:

$$\bullet a = e^{2\pi i y r/N}$$

$$\bullet t = N/r$$

$$\sum_{m=0}^{t-1} a^m = \begin{cases} t & \text{if } a=1 \\ \frac{1-a^t}{1-a} & \text{if } a \neq 1 \end{cases}$$

Case 1

$$a \neq 1 \leftrightarrow e^{2\pi i y r / N} \neq 1$$

$$\sum_{m=0}^{\frac{N}{r}-1} \left(e^{2\pi i y r / N} \right)^m = \frac{1 - e^{\frac{2\pi i y r}{N} \cdot \frac{N}{r}}}{1 - e^{2\pi i y r / N}}$$

$$= \frac{1 - e^{\cancel{2\pi i y} \cdot 1}}{1 - e^{2\pi i y r / N}}$$

$$= 0$$

Case 2

$$a = 1 \leftrightarrow e^{2\pi i y r / N} = 1$$

$$\leftrightarrow \frac{y r}{N} = k \in \mathbb{Z}$$

$$\leftrightarrow y = \frac{k r}{N} \text{ for } k \in \mathbb{Z}$$

$$\sum_{m=0}^{\frac{N}{r}-1} \left(e^{2\pi i y r / N} \right)^m = \frac{N}{r}$$

Prob. of outcome y : $\left| \frac{\sqrt{r}}{N} e^{2\pi i b^* y / N} \sum_{m=0}^{\frac{N}{r}-1} \left(e^{2\pi i y / N} \right)^m \right|^2$

Plugging in:

Case 1

$$\left| \frac{\sqrt{r}}{N} e^{2\pi i b^* y / N} \cdot 0 \right|^2 = 0$$

Case 2

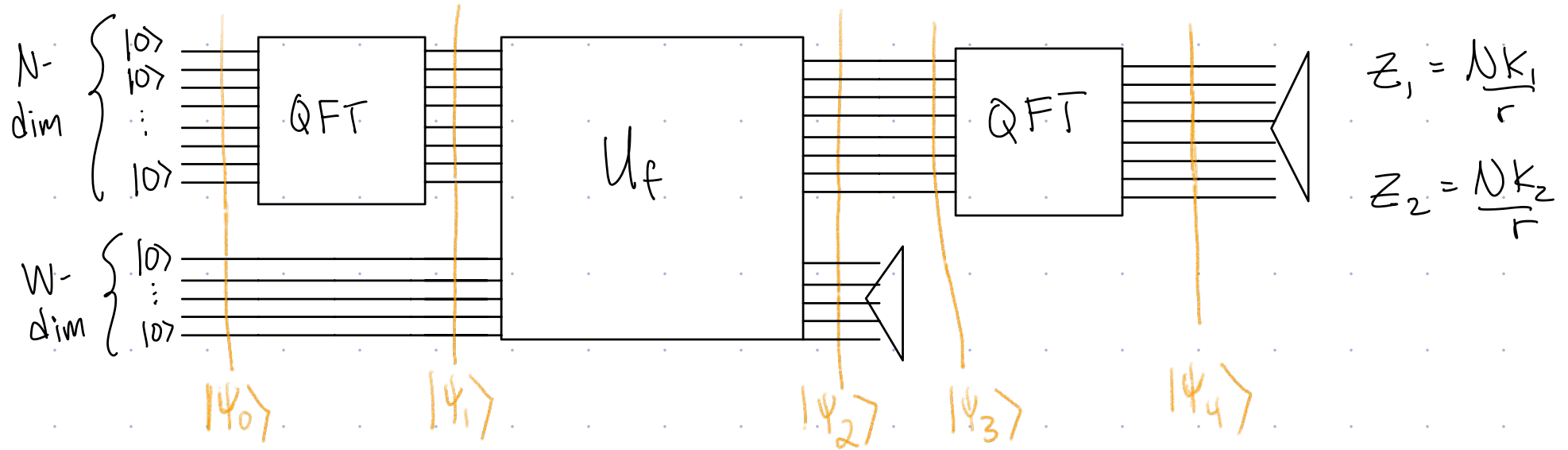
$$\begin{aligned} & \left| \frac{\sqrt{r}}{N} e^{2\pi i b^* y / N} \frac{N}{r} \right|^2 \\ &= \left| e^{2\pi i b^* y / N} \right|^2 \frac{1}{r} \\ &= \frac{1}{r} \end{aligned}$$

End result: Final measurement of first register will always result in a multiple of $\frac{N}{r}$

$$\Rightarrow y = \frac{kN}{r} \text{ for } k \in \mathbb{Z}$$

Period Finding Algorithm (Shor's Algorithm)

① Run 2 times:



② Continued Fractions $y_1 \rightarrow \frac{s_1}{j_1} = \frac{K_1 N}{r}$ $y_2 \rightarrow \frac{s_2}{j_2} = \frac{K_2 N}{r}$

(i) j_1 or j_2 will be r (ii) j_1, j_2 are factors of

③ Check $f(0) \stackrel{?}{=} f(r)$
 $r \rightarrow \text{l.c.m.}(j_1, j_2) \Rightarrow r$

Successful with prob. $\geq 2/3$

Query Complexity of Period Finding

Classical: $O(\sqrt{r})$

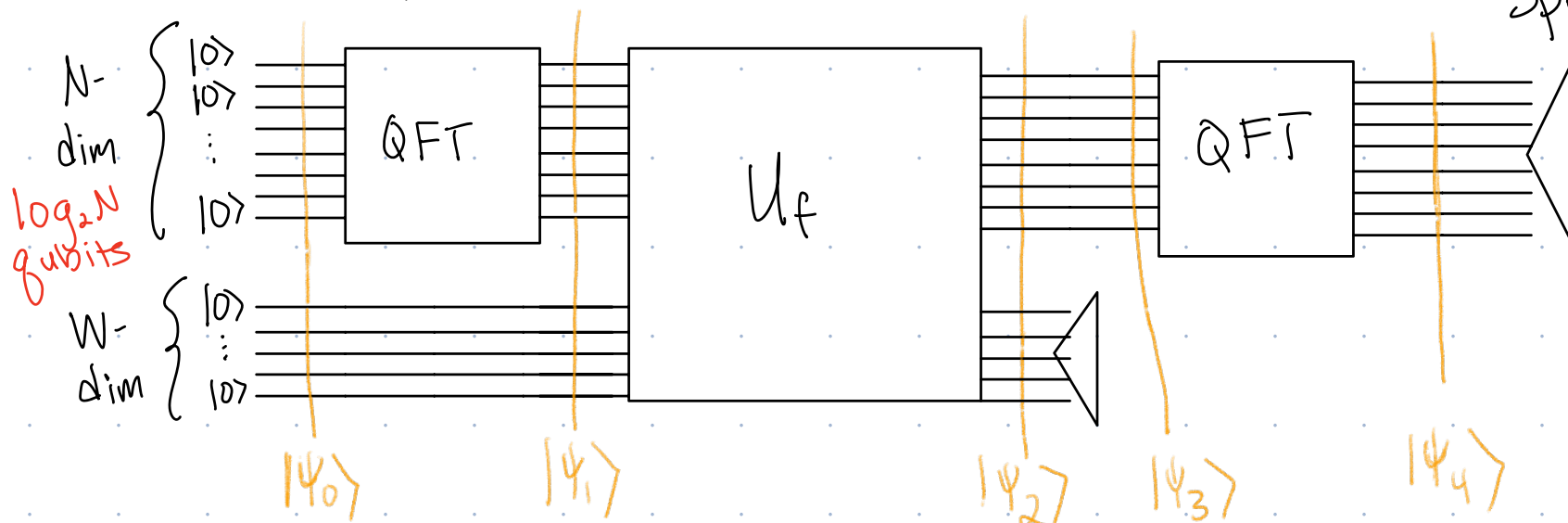
Quantum: $O(1)$

Time Complexity of Factoring

Quantum: $O(\log^2 N)$

Circuit used to factor N .

Nearly Exponential
Speedup!



$O(\log^2 N)$

$O(\log^2 N)$

$O(\log^2 N)$

$\rightarrow O(\log^2 N)$

Classical: $e^{O(\log^{1/3} N)}$

Number Field Sieve

Pesky Detail:

We looked at prob of: $y = \frac{kN}{r}$

But if $\frac{N}{r} \notin \mathbb{N}$, y is a fraction... see pset.