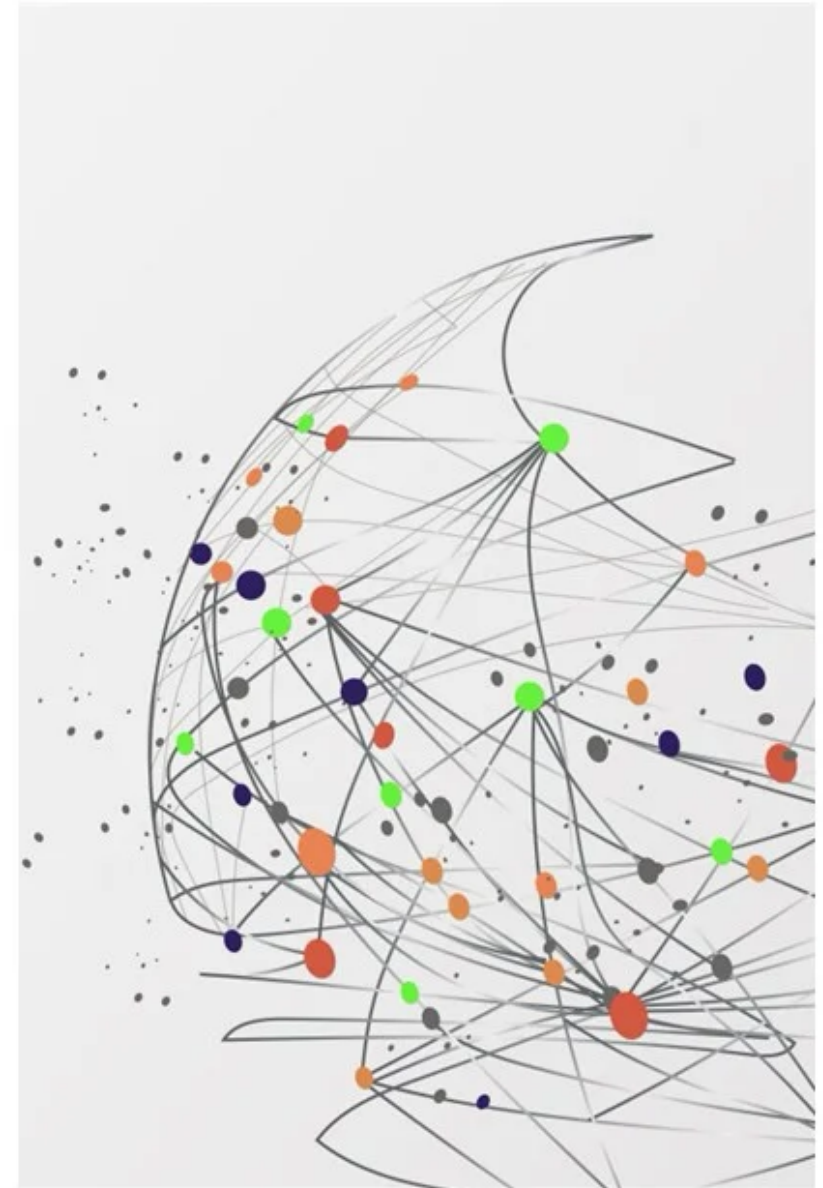


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## Comp Sci Lunch Social

**Come connect!**

- **What:** *delicious food from Tindia (Taste of India)*
- **Who:** *you, your CS friends, your CS profs*
- **When:** *Friday May 1st, 12:20-1:20 (drop-in!)*
- **Where:** *75 Shannon 102*



# QUICKSORT

## Learning Goals

Use indicator random variables to analyze the average runtime of randomized algorithms [DC4]

## Announcements

- Ethics Presentations on Thursday!
- Evening help sessions
- PSet Solutions

## Exit Tickets

Review  $2^{j-i+1}$

Why do we care about the base of the log now?

# QuickSort

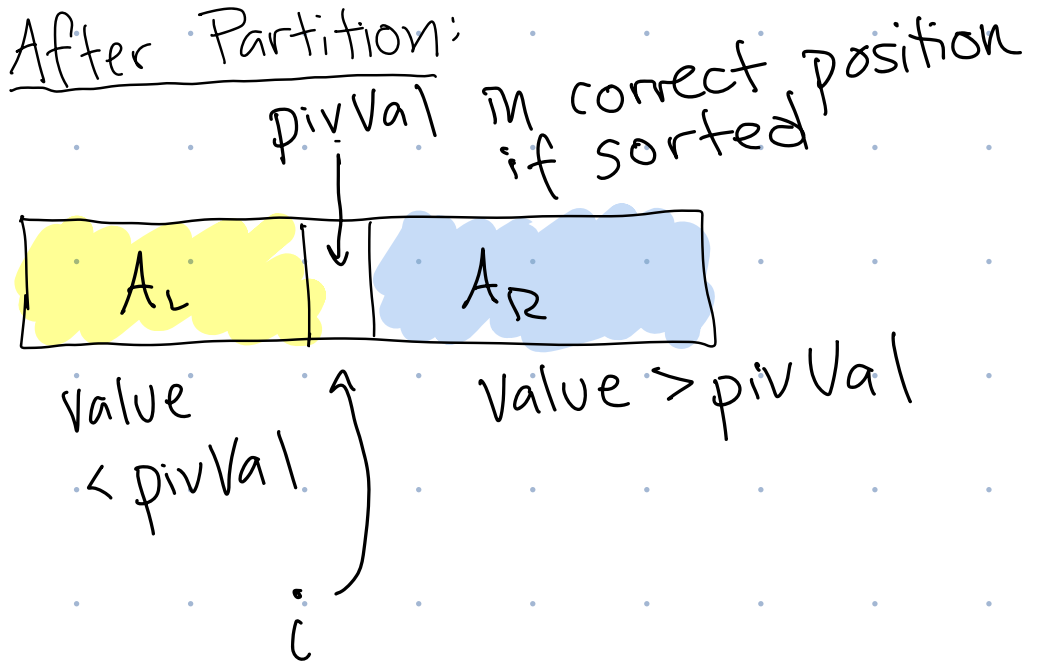
Input: Array  $A$  of unique integers

Output: Sorted  $A$

- If  $|A| = 1$ : Return  $A$
- $\text{pivInd} \leftarrow$  randomly chosen index, with value  $\text{pivVal}$
- $\text{Partition}(A, \text{pivInd})$
- $\text{QuickSort}(A_L)$
- $\text{QuickSort}(A_R)$

$\text{pivInd} \Rightarrow$  pivot index  
 $\text{pivVal} \Rightarrow$  pivot value

After Partition:

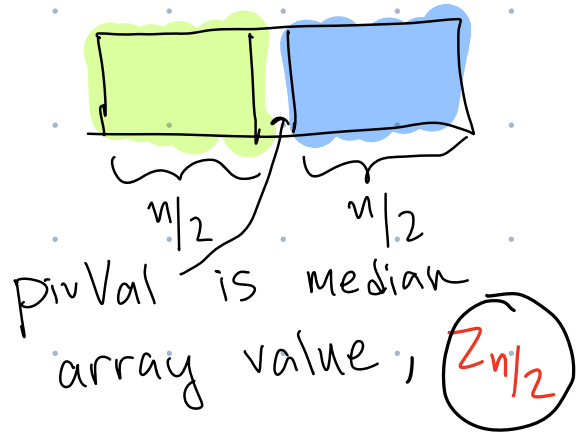


## Key Pts

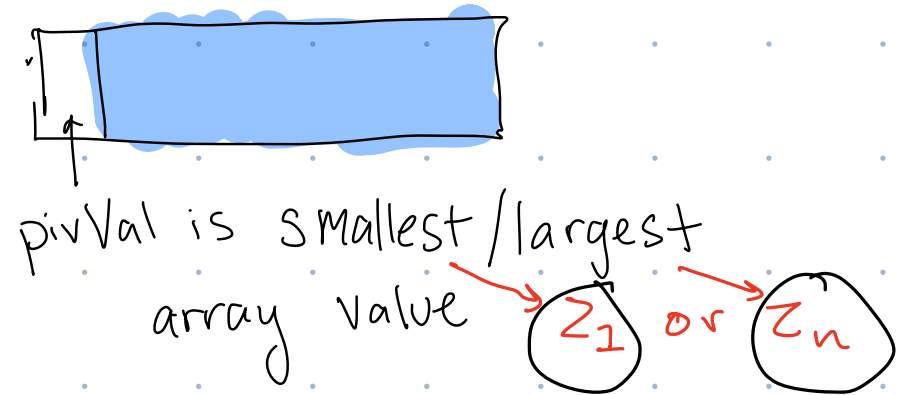
- Partition takes  $O(|A|)$  time
- If  $\text{pivVal}$  is  $z_i$  ( $i^{\text{th}}$  smallest element of  $A$ ), after partition,  $\text{pivVal}$  is at position  $i$ .

# Lucky vs. Unlucky Pivot Choices

Lucky:



Unlucky:



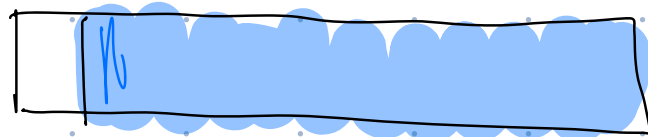
1. Suppose you get lucky at every recursive call of QuickSort.
2. Suppose you get unlucky at every recursive call of QuickSort.
  - Create recurrence relation for runtime of QuickSort in each case
  - Solve recurrence to determine runtime in each case
3. What is Sample Space? Random Variable? Expectation value? Linearity of Expectation?

# Lucky vs. Unlucky Pivot Choices

1. Suppose you get lucky at every recursive call of QuickSort.

$$T(n) = \begin{cases} 2T\left(\frac{n}{2}\right) + O(n) \\ O(1) \quad \text{if } n=1 \end{cases} \Rightarrow \text{Tree Method } O(n \log n)$$

2. Suppose you get unlucky at every recursive call of QuickSort.



$$T(n) = \begin{cases} T(n-1) + O(n) \\ O(1) \quad \text{if } n=1 \end{cases} \Rightarrow \begin{matrix} \text{Expands} \\ + \\ \text{Hope} \end{matrix} O(n^2)$$

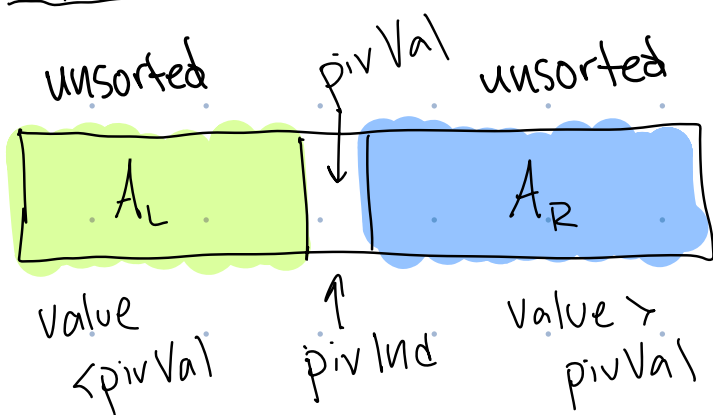
# Partition (A, pivInd, pivVal)

- $\text{pivVal} \leftarrow A[\text{pivInd}]$
- Swap pivot with  $A[1]$
- $\text{current} \leftarrow 2$
- While  $\text{current} \leq |A|$ :

\* If  $A[\text{current}] < \text{pivVal}$ :  
    | Swap  $A[\text{current}], \text{pivVal}$   
    | Swap  $A[\text{pivInd}+1], \text{pivVal}$   
    |  $\text{current}++$

← Every element of array is compared once to pivot

After Partition:



Strategy: Count # of times

line \* is run over whole alg

# Analyzing Average Runtime

1. Determine "Sample Space",  $S$  = set of all possible sequences of random events that might occur over the course of the algorithm.

QuickSort  $\rightarrow S$  = set of all possible pivot choice sequences of the algorithm

What is the sample space if QuickSort is run on

8	5	7
---	---	---

A)  $S = \{8, 5, 7\}$

B)  $S =$  All possible permutations of  $\{8, 5, 7\}$

C)  $S =$  Power set of  $\{8, 5, 7\}$  (set of all subsets of  $\{8, 5, 7\}$ )

**D)**  $S = \{(7), (8, 5), (8, 7), (5, 8), (5, 7)\}$

# comparisons

+ 2

7

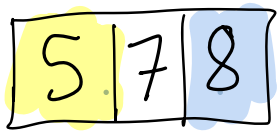
$\frac{1}{3}$



8  $\frac{1}{3}$

5  $\frac{1}{3}$

+ 0



$\emptyset$

$\emptyset$

+ 1

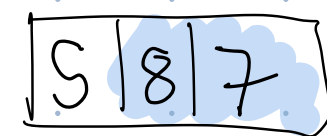


$\frac{1}{2}$

5

7  $\frac{1}{2}$

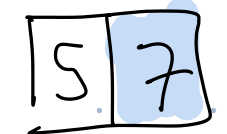
+ 1



$\frac{1}{2}$

8

7  $\frac{1}{2}$



$\emptyset$

$\emptyset$

$P(S) = \frac{1}{3}$

$S = \{ 7, (8, 5), (8, 7), (5, 8), (5, 7) \}$

$R(7) = 2$

$R(8, 5) = 3 \dots$

# Analyzing Average Runtime

2. Create "Random Variable" that scales with runtime

$$R: S \rightarrow \mathbb{R}$$

random variable is a function that maps each element of sample space to a number

Particular  
sequence of  
pivot choices

QuickSort:  $R(\sigma) = \# \text{ of comparisons}$  (~~\*~~ # of times is run)

3. Take Expectation Value of  $R$  to get average runtime:

$$E[R] = \sum_{\sigma \in S} R(\sigma) \cdot p(\sigma)$$

$$R(7)p(7) + \underbrace{R(8,5)p(8,5) + R(8,7)p(8,7) + \dots}_{4 \times 3 \times \frac{1}{6}} = 2\frac{1}{3} + \frac{8}{3}$$

2. (Alternate) Create  $R: S \rightarrow \mathbb{R}$ , but break into sum of other simpler random variables. eg.

$f(x) = x + x^2$	$f_1(x) = x$
$f = f_1 + f_2$	$f_2(x) = x^2$

QuickSort: simpler random variable:

$X_{ij}(\sigma) = \#$  of comparisons between  $z_i$  and  $z_j$  over whole algorithm

$\boxed{8 | 5 | 7}$

$z_1 = 5$

$z_2 = 7$

$z_3 = 8$

$X_{23}(8, 5) = 1$

$$R(\sigma) = \sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}(\sigma)$$
$$= \sum_{i < j} X_{ij}(\sigma)$$

3. (alternate) Use linearity of expectation

$$\mathbb{E}[R] = \mathbb{E}\left[\sum_{i < j} X_{ij}\right]$$
$$= \sum_{i < j} \mathbb{E}[X_{ij}]$$

To Analyze  $E[X_{ij}]$ , Consider:

- pivot never in recursive call
- only pivot compared to each other elt

• Suppose  $z_i, z_j$  ( $i < j$ ) are both in a subarray that is input to some recursive call of QuickSort.

For each of the following cases (\*)

- are  $z_i, z_j$  compared in this call?

- are they kept together or separated in future recursive calls

\*  $z_i$  or  $z_j$  chosen as pivot

\*  $z_k$  chosen as pivot

\*  $k > i, j$

\*  $k < i, j$

\*  $i < k < j$

• What values can  $X_{ij}$  take (only 2 possible), and under which conditions does it take those values

• What is probability of  $z_i, z_j$  being compared?

To Analyze  $E[X_{ij}]$ , Consider:

★  $z_i$  or  $z_j$  chosen as pivot ( $z_i$ )

•  $z_i, z_j$  are compared

• Separated



}  $X_{ij} = 1$

★  $z_k$  chosen as pivot,  $i < k < j$

•  $z_i, z_j$  not compared

• Separated



}  $X_{ij} = 0$

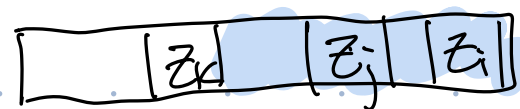
★  $z_k$  chosen as pivot,  $k < i, j$

•  $z_i, z_j$  not compared

• Kept together

↳ might be compared

↳ might not be compared



}  $X_{ij} = ?$

Back to Average Runtime:

Linearity of Expectations

$$\begin{aligned} \mathbb{E}[R(\sigma)] &= \mathbb{E}\left[\sum_{i < j} X_{ij}\right] = \sum_{i < j} \mathbb{E}[X_{ij}] \\ &= \sum_{i < j} \left( \sum_{\sigma \in S} X_{ij}(\sigma) \Pr(\sigma) \right) \end{aligned}$$

$$\underbrace{\sum_{\substack{\sigma \in S \\ \text{s.t. } X_{ij}(\sigma) = 0}} X_{ij}(\sigma) \Pr(\sigma)}_0$$

$$+ \sum_{\substack{\sigma \in S \\ \text{s.t. } X_{ij}(\sigma) = 1}} X_{ij}(\sigma) \Pr(\sigma)$$

$$+ \boxed{\sum_{\substack{\sigma \in S \\ \text{s.t. } X_{ij}(\sigma) = 1}} \Pr(\sigma)}$$

||  
Probability that  $X_{ij} = 1$

Probability that  $X_{ij} = 1$

$z_1$

$z_1 \ z_2 \ z_3 \ \dots \ z_{i-1} \ z_i \ z_{i+1} \ \dots \ z_{j-1} \ z_j$

Pivot here,  
delay  
decision

Pivot here,  
decision

$z_n$

$z_{j+1} \ \dots \ z_n$

Pivot here,  
delay  
decision

$z_1 \ z_n$

$\frac{2}{n}$

What is the probability that  $z_i, z_j$  are compared?

A)  $\frac{1}{j-i}$

B)  $\frac{2}{j-i+1}$

C)  $\frac{2}{n}$

D)  $\frac{1}{n^2}$

Continuing  $E[R]$  analysis:

Indicator random variable = random variable that only takes value 0 or 1.

$$E[R] = E\left[\sum_{i < j} X_{ij}\right]$$

$$= \sum_{i < j} [E[X_{ij}]]$$

$$= \sum_{i < j} (\text{Probability that } z_i \text{ and } z_j \text{ are compared})$$

↑ thing that makes i.r.v take value 1

$$= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1}$$

when  $j=i+1$

$$= \sum_{i=1}^{n-1} \left( \frac{2}{2} + \frac{2}{3} + \frac{2}{4} + \dots + \frac{2}{n-i+1} \right)$$

$$\frac{2}{(i+1)-i+1} = \frac{2}{2}$$

Harmonic Series

$$\left( \frac{2}{2} + \frac{2}{3} + \dots + \frac{2}{n-i+1} + \dots + \frac{2}{n} \right)$$

$$\text{Fact: } \sum_{i=1}^n \frac{1}{i} \leq \ln(n) + 1$$

$$\leq \sum_{i=1}^{n-1} 2(\ln(n) + 1)$$

$$= 2(n-1)(\ln(n) + 1)$$

$$= O(n \log n)$$

$$\ln(n) = \log_e(n)$$

Prob.



Runtime

Merge Sort ?

or

QuickSort ?

- Limited Space?

- Sorting Multiple Lists in Parallel?

- Array as linked list?

- Small Array

- Want speed, and array calls are quick?



# Partition (A, pivInd, pivVal)

- Swap pivot with  $A[1]$
- $current \leftarrow 2$
- While  $current \leq |A|$ :

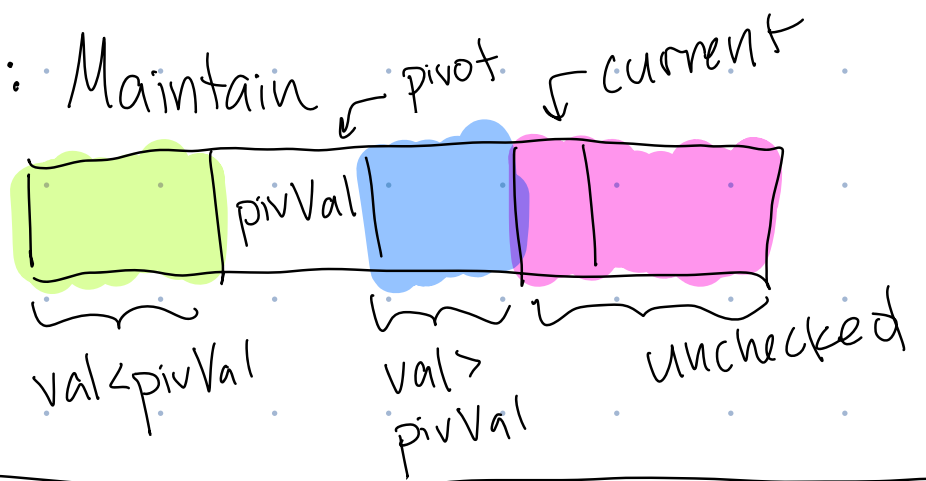
IF  $A[current] < pivVal$ :

Swap  $A[current], pivVal$

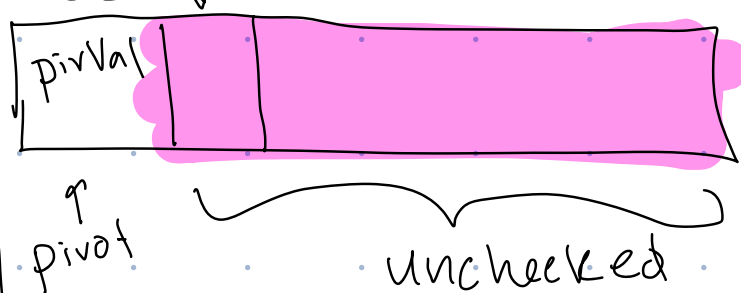
Swap  $A[pivInd+1], pivVal$

$current++$

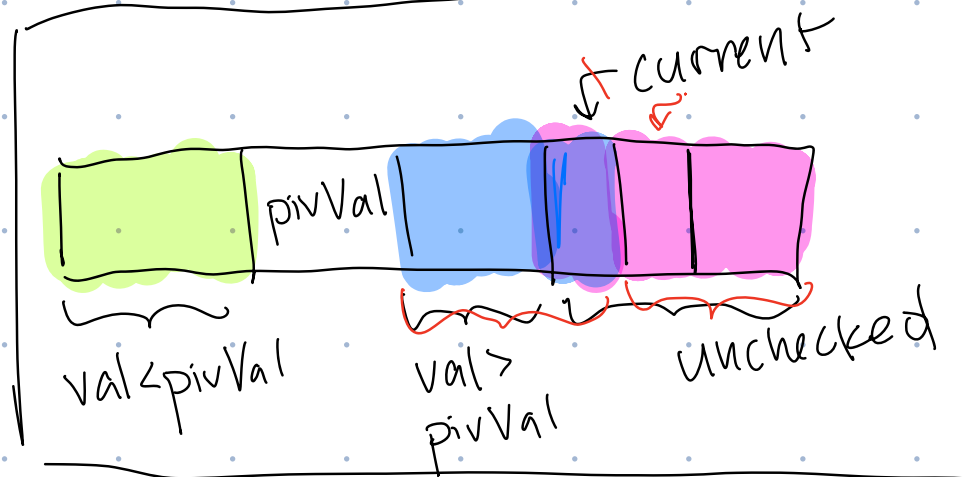
Goal: Maintain



$A[i]$   $current$



Green/Blue regions empty



End:

