

# DIVIDE + CONQUER: CLOSEST POINTS

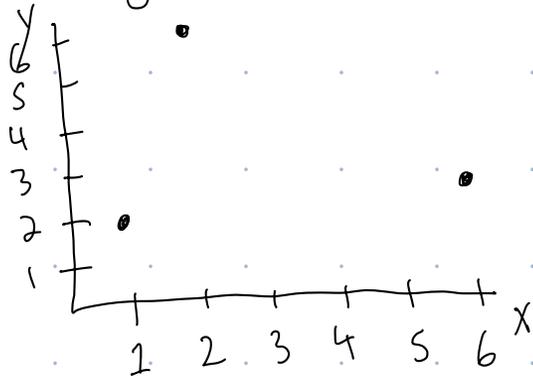
## Learning Goals

- Analyze runtime of D&C alg [DC 1]
- Create a D&C alg [DC 2]
- Benchmark algorithm with brute force / easier problem
- Build intuition with easier problems
- Analyze ethics of alg using ethical matrix [Eth 1]
- Prove correctness of divide + conquer using strong induction [DC 3]

## Closest Points Problem

Input: Array of 2-D points:

$$P = \boxed{(1, 2) \quad (2, 6) \quad (6, 3) \quad \dots}$$



Output: Distance b/t closest 2 pts

$$\hookrightarrow d(p_1, p_2) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$$

Applications:

- air traffic control
- robotics
- stereo  $\rightarrow$  3D

## Algorithms + Ethics

Algorithm is essentially a mathematical object.

But once it gets implemented for a particular task, has ethical implications.

CloPts(P)

// Base Case

1. If  $|P| \leq 3$ :

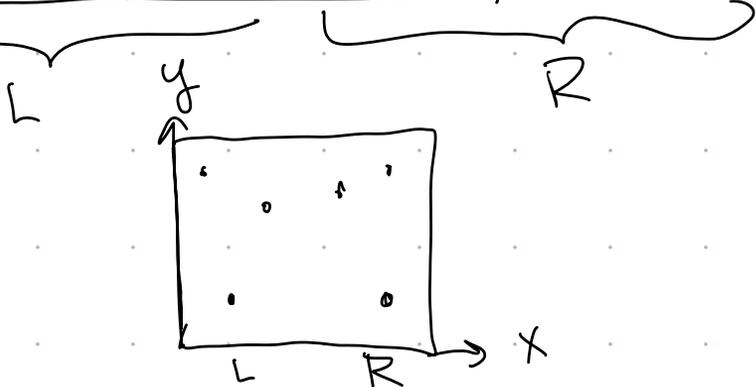
2. Check distance of each pair + return smallest found

// Divide

(P list of 2-D pts,  $|P| \geq 2$ )

ex

$(1, 5) | (2, 2) | (4, 0) | (10, 20) | (12, 3) | (20, 6)$



We will prove using Strong induction that \_\_\_\_\_ correctly returns \_\_\_\_\_

all  $n \geq$  \_\_\_\_\_ where \_\_\_\_\_

(Fill in blanks for other divide + cong.)

Base Case: When  $n =$  \_\_\_\_\_, the base case of the algorithm correctly \_\_\_\_\_ via brute force.

Inductive Step: Let  $k \geq$  \_\_\_\_\_ and assume \_\_\_\_\_ is correct for all input sizes  $j$  for \_\_\_\_\_  $\leq j \leq k$ . Consider an input of size  $k+1$ .

// Conquer

Since  $k+1 \geq 2$ , we skip the base case and go to the divide step.

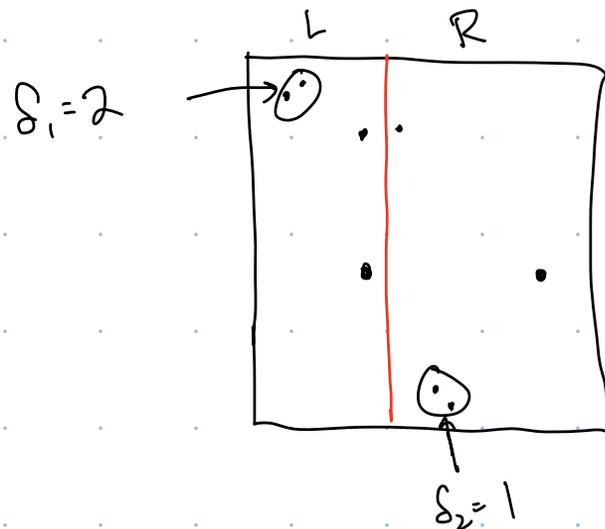
[Since  $k+1 \geq 4$ , when we divide into  $L + R$ ,  $L, R$  each have at least 2 but less than  $k+1$  pts, so by inductive assumption,  $\text{CloPts}(L)$  and  $\text{CloPts}(R)$  each correctly return the closest distance among their respective points. Thus  $S$  contains the smallest distance between points where both pts are to the left of the midline or both are to the right.

Next we need to find the closest distance between pts where one is in  $L$  and one is in  $R$ .

// Combine

Combine:

Let's think about this:



What points do we need to worry about in combine step?

Those within

A)  $s/2$  of midline

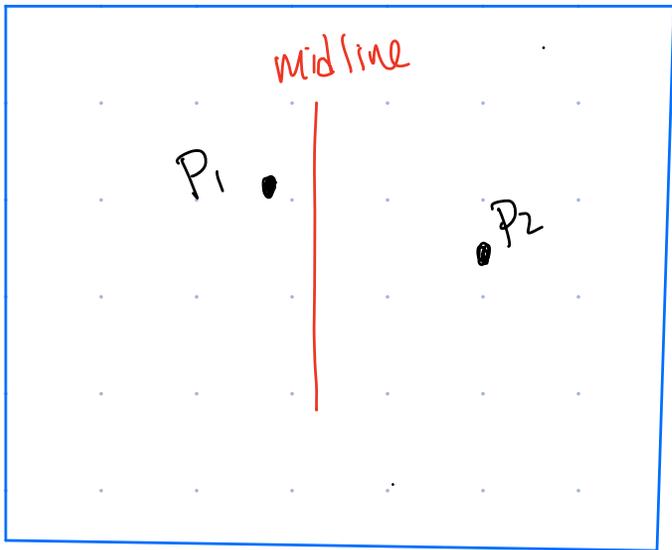
C)  $s$  of midline

B)  $\sqrt{s}$  of midline

D)  $2s$  of midline

// Combine

8.  $Y \leftarrow$  Pts within  
of  
midline ...



However, we only need concern ourselves with pts whose  $x$ -coord. are within  $d$  of the midline. This is b/c any pair where  $p_1$  is in  $L$  (so  $x_1 < \text{midline}$ ), and  $p_2$  is in  $R$  with  $x$ -coord  $x_2 > \text{midline} + d$ , has

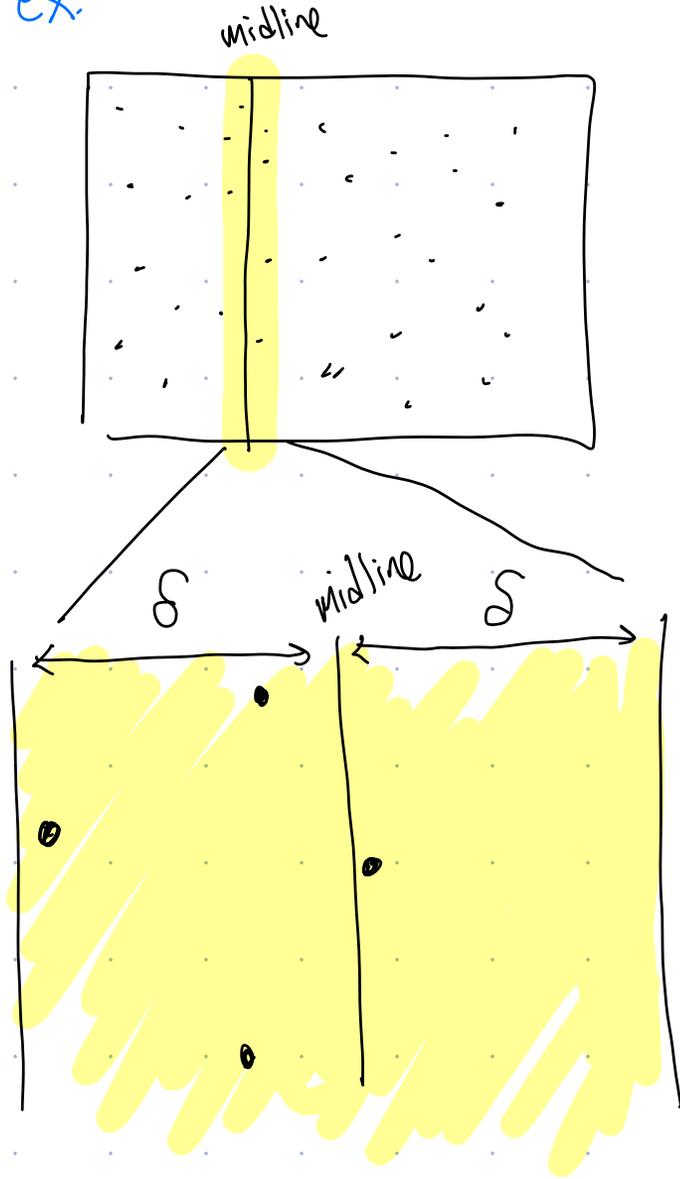
$$d(p_1, p_2) =$$

Thus  $p_1$  and  $p_2$  have distance larger than  $d$ , so can not be the closest pair. (A similar

argument holds for pts  
to the left of the midline.)

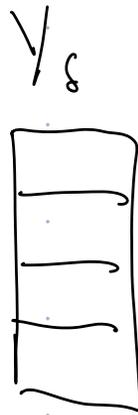
Therefore, we only need  
consider pts in  $Y_S$ .

ex:



Looks almost 1-D?

Sort by  $y$  and check  
next pt?



9 for  $p \in Y_S$ :

10 • Check distance from  $p$  to next pts in  $Y_S$

11 •  $S_{LR} \leftarrow$  Smallest distance found

12 return  $\min\{S, S_{LR}\}$

With  $Y_S$  sorted by  $y$ -coordinate, given a pt  $p$  in  $Y_S$ , we will show any pt closer than  $S$  to  $p$  must be within pts of  $p$  in  $Y_S$ .

What goes in blank?

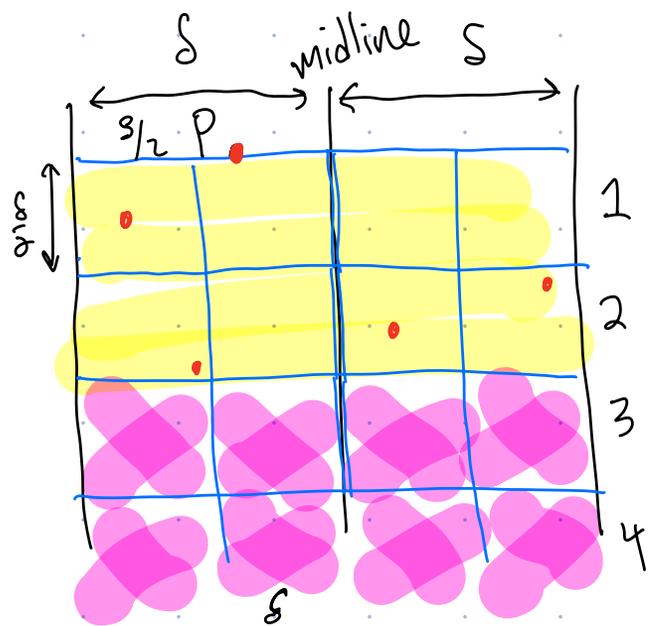
Show can't cram too

many pts near  $p$

because closest pts in  $L_1$

$R$  have distance  $S$





Further, we note that only boxes in the two rows immediately below  $p$  can contain a pt. closer than  $S$  to  $p$ , because the  $y$ -coordinate difference to pts in further rows is at least  $S$ .

There are 8 boxes in the first two rows, the points in the first two rows will appear in  $Y_s$  before any later points b/c  $Y_s$  is sorted by  $y$ , so checking the next pts we will

find a pt closer than  $\delta$  to  $p$ , if it exists.

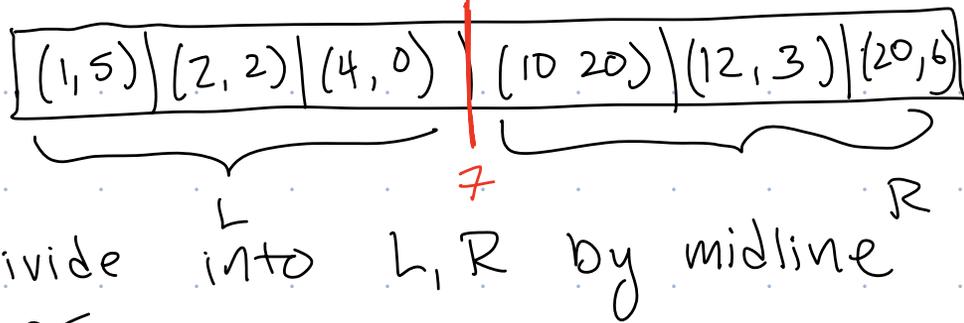
Therefore our combine step will find any pair with distance less than  $\delta$  in  $Y_\delta$ .

Thus, our algorithm will correctly find the closest distance of any pair.

# Closest Pts (P) (Divide + Conquer 2D Closest Pts)

Base Case: If  $|P| \leq 3$ , then do brute force

Divide: Sort by X



Divide into L, R by midline

Conquer:

$$S_1 = \text{ClosestPt}(L)$$

$$S_2 = \text{ClosestPt}(R)$$

$$S = \min(S_1, S_2)$$

Combine:

$Y_S \leftarrow$  pts w/in  $S$  of midline, sorted by  $y$ -coordinate

for  $p_i \in Y_S$ :

for  $j \leftarrow i+1$  to  $i+7$ :

if  $d(p_i, p_j) < S$ , then  $S \leftarrow d(p_i, p_j)$

return  $S$

- Fun weekend plan
- Create recurrence relation for runtime.
- Explain why correct
- Q's about correctness

Problem: Sorting at each recursive step takes too long. Pre sort!

PreSort(P)

$X \leftarrow P$  sorted by  $x$

$Y \leftarrow P$  sorted by  $y$

return  $X, Y$

Closest Pts (X, Y)

1. If  $|X| \leq 3$ , do Brute Force

2. Divide into  $X_L, Y_L, X_R, Y_R$

3.  $S = \min \{ \text{Closest Pts}(X_L, Y_L), \text{Closest Pts}(X_R, Y_R) \}$

4. Create  $Y_S$

5. For  $p_i \in Y_S$ :

    | For  $j \leftarrow i+1$  to  $i+7$

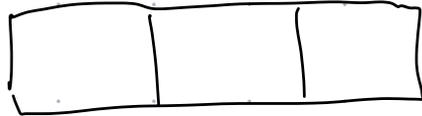
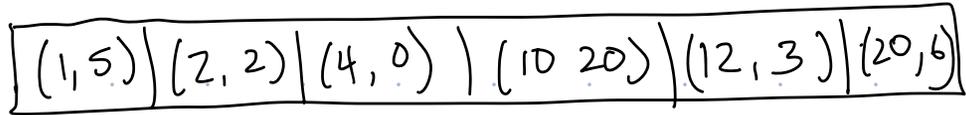
    | | If  $\text{dist}(p_i, p_j) < S$ ,  $S \leftarrow \text{dist}(p_i, p_j)$

6. Return  $S$ .

# Dividing Arrays Efficiently

Step 2.

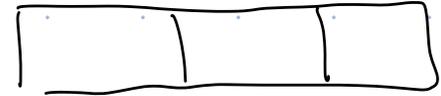
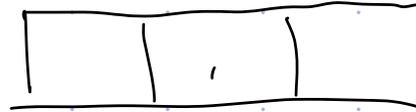
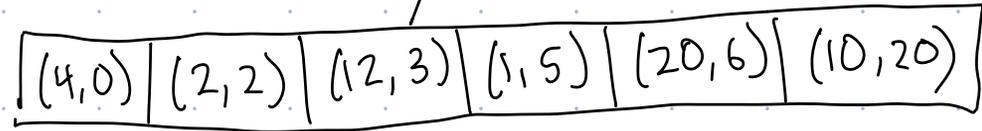
X



$X_L$

$X_R$

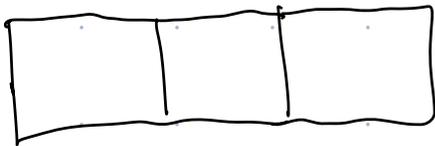
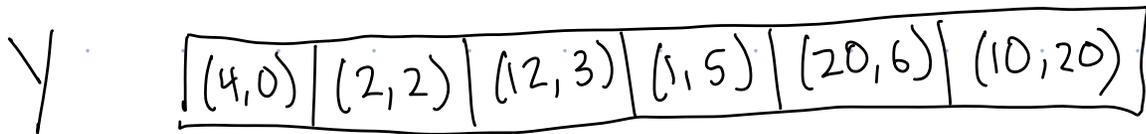
Y



$Y_L$

$Y_R$

Step 4 :  $S = 4$  want x from 3 to 11



Because points in L and R are grouped together in one array, until we look at the pt, we don't know if in L or R

- Where did we use our assumption that  $x, y$  points are unique?

Difficult to divide into  $L$  and  $R$  using  $O(n)$  time.

- You can do Prg. Assign. 1!

Honor Code Conversation!