

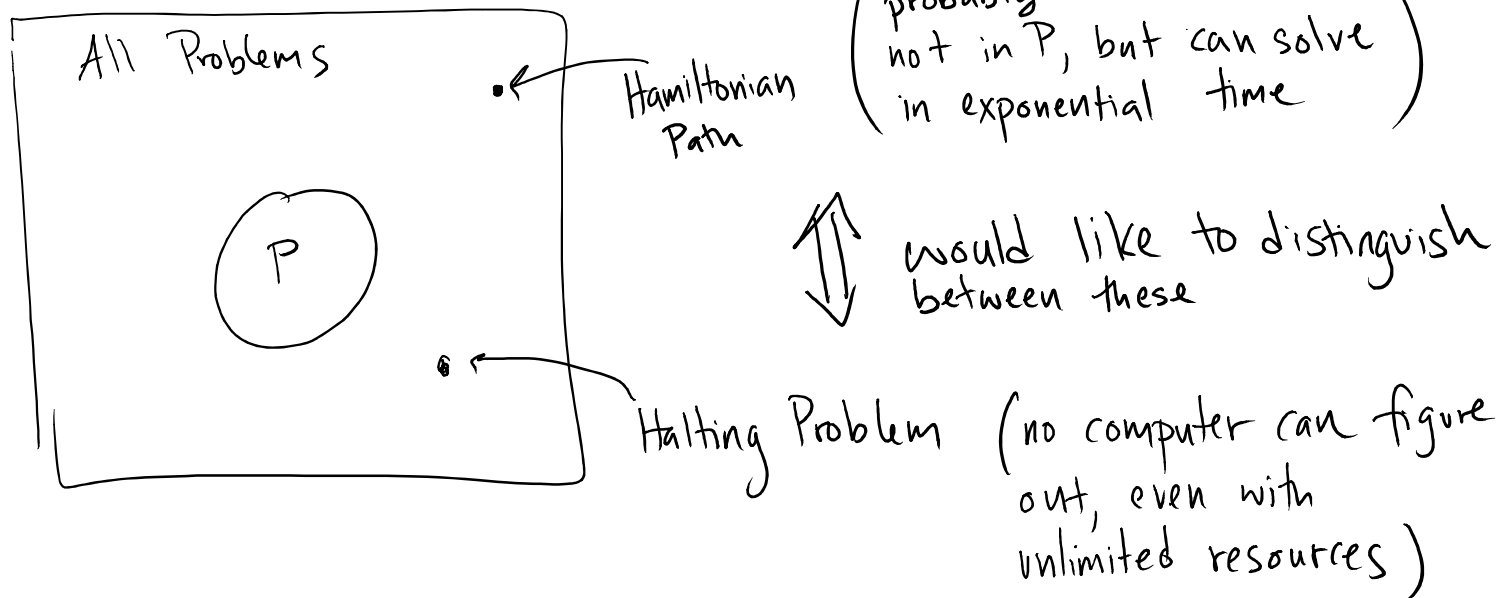
Focus so far:

(informal)  
Polynomial  
Time

$P =$  problems that can be solved in  $O(n^k)$  time,  $k$  a constant,  
if description of input is size  $n$  (bits)

$k=10^6$  not very efficient... but almost all problems in  $P$  actually  
have  $k=1, 2, 3, 4$ . (like most problems in class.)

e.g. Adjacency list: Size:  $O((n+m)\log n)$   $\Rightarrow$  graph alg runtime  
is  $O(n+m)$ ,  $O(nm)$   
 $O(n\log m)$



Motivates: Nondeterministic Polynomial Time

NP (informal) = set of problems where if input is size  $n$ ,

- Solution is size  $O(n^{k_1})$

- Can verify if solution is correct in  $O(n^{k_2})$  time

ex: Hamiltonian Path

Input: Adjacency list of directed, unweighted graph  $G=(V,E)$ ;  $s,t \in V$ .  $|V|=n, |E|=m$

Output: If it exists, a path from  $s$  to  $t$  that goes through each vertex once.

Another NP problem:

3SAT: Given CNF formula of  $x_1, x_2, \dots, x_n$  and negations  
 $\uparrow$   
 AND of ORs

where each clause has at most 3 terms, is there a satisfying assignment?

Example input:

$$\underbrace{(x_1 \vee x_3 \vee \bar{x}_4)}_{C_1} \wedge \underbrace{(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_5)}_{C_2} \wedge \underbrace{(\bar{x}_3 \vee x_5)}_{C_3}$$

Clauses:

Output:  $x_1 = 1, x_2 = 0, \dots$

Most people believe NP-Hard problems take exponential time to solve

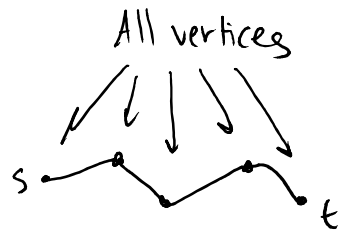
# Verify HAMPATH ∈ NP

- Size of Solution:

$$(n-1) \cdot \log n$$

↑  
# of vertices  
in path

↑  
vertex name



- Time to verify:

$$O(n^2)$$

- ← checking each edge is valid takes time  $O(n)$
- need to do  $n$  times

+

$$O(n)$$

- ← maintain array of visited vertices to check all visited exactly once.

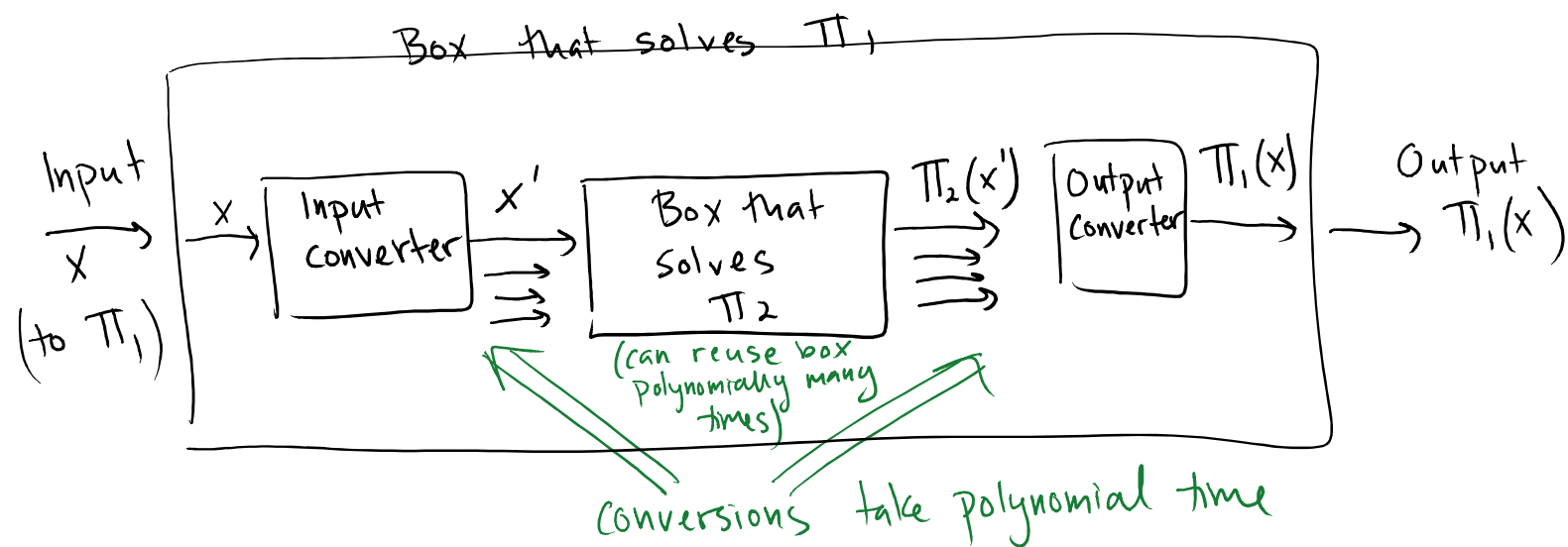
# HAMPATH ∈ NP

Hamiltonian cycle is hardest problem in NP

↑  
 What does this mean? It can be used to solve any other problem in NP

## Polynomial Reduction

Problem  $\Pi_1$  reduces to problem  $\Pi_2$  if



To show 3SAT reduces to HAMPATH:

- $X = \text{CNF formula}$
- Input converter uses  $X$  to create  $x'$ , an Adj. List
- Output HAMPATH( $x'$ ) tell you if Ham path exists
- Output converter uses this info to decide if CNF formula is satisfiable

Q: If  $\Pi_2 \in P$  and  $\Pi_1$  reduces to  $\Pi_2$  then

A)  $\Pi_1 \in P$

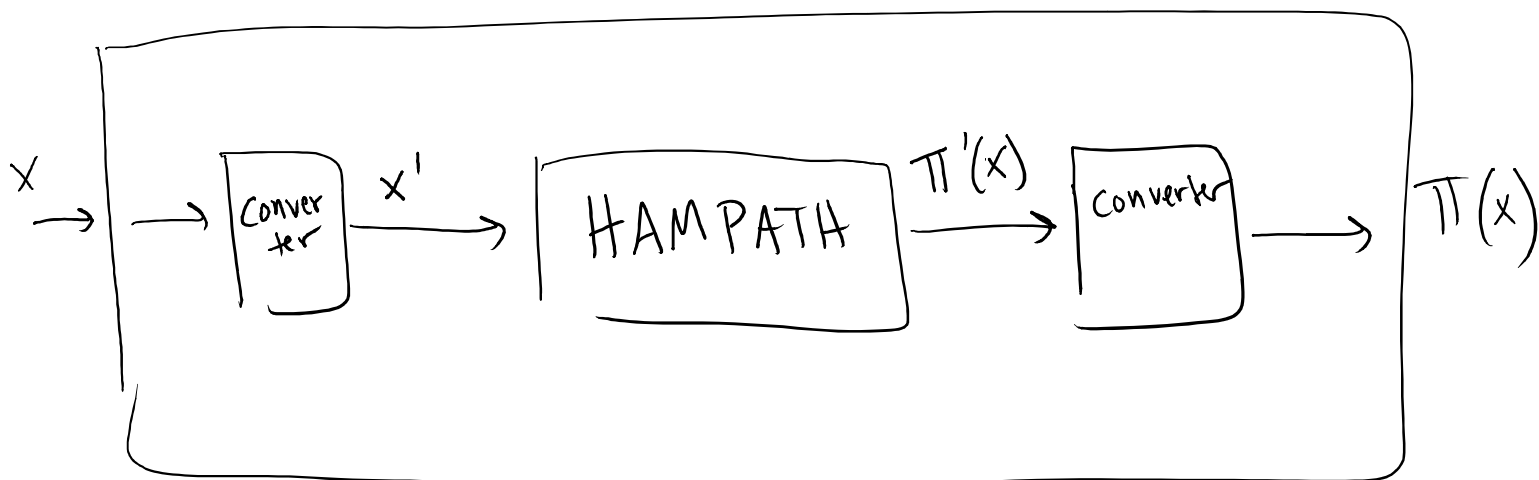
B)  $\Pi_1 \notin NP$

C) We don't have enough information

$\Pi_1 \in P$  because need to run  $\Pi_2$  poly # of times, takes poly time, and do poly time other steps  $\Rightarrow$  poly time.

$\Pi_1$  reduces to  $\Pi_2$  implies  $\Pi_2$  is harder than  $\Pi_1$  (if can do  $\Pi_2$ , can do  $\Pi_1$ )

Can show  $\forall \Pi \in NP$ ,  $\Pi$  reduces to HAMPATH



- HAMPATH is NP-Hard (every problem in NP reduces to it)
  - Also HAMPATH  $\in$  NP
- $\Downarrow$   
 HAMPATH is NP-Complete

$$\Pi \in NP \wedge \Pi \in NP\text{-Hard} = NP\text{-COMPLETE}$$

We will take as fact that 3SAT is NP-COMPLETE

Important Skill in algorithm design proving  $\Pi$  is NP-Hard

Why?

- Won't spend time trying to find efficient solution
- Look up existing alg for NP-hard problems
- Use special structure (average case might be easy)

Strategy for proving  $\Pi$  is NP-Hard

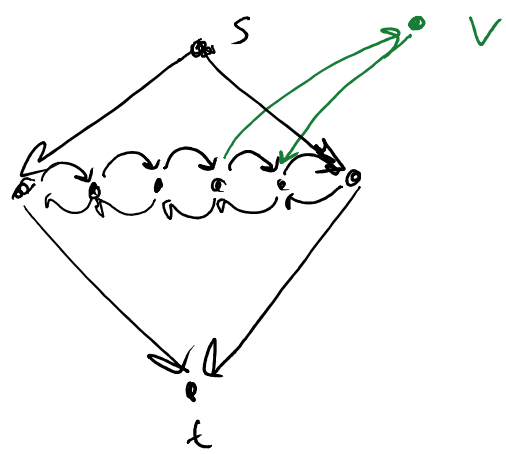
$\Rightarrow$  Reduce 3SAT to  $\Pi$

( $\Pi$  harder than 3SAT) & (3SAT harder than NP)  
 $\Rightarrow \Pi$  is harder than NP

Prove: 3SAT reduces to HAMPATH

- 1) Create  $x'$  (input to HAMPATH) using  $x$  (input to 3SAT)
- 2) Show takes poly time to do reduction
- 3) Show 3SAT( $x$ ) has solution iff HAMPATH( $x'$ ) has solution





Q: How many Hamiltonian Paths are there from s to t without v / with v?

- A) 1, 0
- B) 1, 1
- C) 1, 2
- D) 2, 1

