

## Groups

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Will

Reflection: Use group outside class. Look up master method?

## Programming Assignment!

### Goals

- Create + analyze loop invariants
- Analyze QuickSort

# Loop Invariants: Prove loops are correct

```

  setup
  while (condition) {
    stuff
  }

```

Great output

← Induction tailored to loops

## Parts of Loop Invariant Proof

1. State Invariant: thing(s) that is true before & after each loop iteration
2. Base Case : Show invariant is true before loop starts.
3. Maintenance : Show if invariant is true before an iteration, it is true after an iteration
4. Termination : argue loop ends. Given status of invariant after final loop, argue great output.

**Input** : Array  $A$  of integers of length  $n$

**Output:** Array containing sorted elements of  $A$

```
1 for  $k = 1$  to  $n - 1$  do  
2   | for  $j = n$  to  $k + 1$  do  
3   |   | if  $A[j] < A[j - 1]$  then  
4   |   |   | Swap  $A[j]$  and  $A[j - 1]$ ;  
5   |   | end  
6   | end  
7 end  
8 return  $A$ ;
```

Algorithm 2: BubbleSort( $A$ )

Bubble Sort:

## 1. Inner Loop Invariant:

- $A[j]$  is the smallest element of  $A[j:n]$
- The elements of  $A$  are same as input array.

Base case:  $j=n$ ,  $A[n]$  is smallest of  $A[n:n]$

Maintenance: Since  $A[j]$  is smallest of  $A[j:n]$ , it is smaller than all in  $A[j-1:n]$  except perhaps  $A[j-1]$ , but we compare  $A[j-1]$  and  $A[j]$  and swap smaller to  $A[j-1]$ . This preserves elements of  $A$  and ensures after loop,  $A[j-1]$  is smallest element of  $A[j-1:n]$ .

Termination: The loop terminates at  $j=k$ , so we have

- $A[k]$  is smallest element of  $A[k:n]$
- Elements of  $A$  preserved.

## 2. Outer loop invariant:

- $A[1:k-1]$  is sorted
- $A[1:k-1]$  contains the smallest  $k-1$  elements of array
- Elements of  $A$  same as input

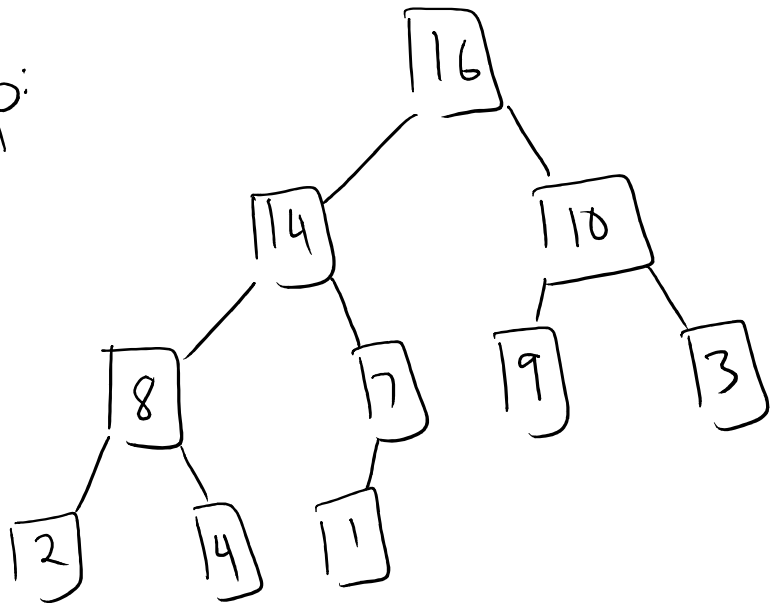
Base case:  $k=0$ , no elements in  $A[1:k]$ ,  $A$  same as input

Maintenance: Start of loop,  $A[1:k-1]$  is sorted + contains  $k-1$  smallest elements of  $A$ . Then inner loop moves smallest of remaining  $A[k:n]$  to  $A[k]$  while preserving elements, so now  $A[1:k]$  contains smallest  $k$  elements of  $A$ , sorted.

Termination: At  $k=n$ , so  $A[1:n-1]$  is sorted smallest elements, but there is only one remaining element in  $A[n]$ , which must be the largest element. Elements are same as input, so output is sorted array.

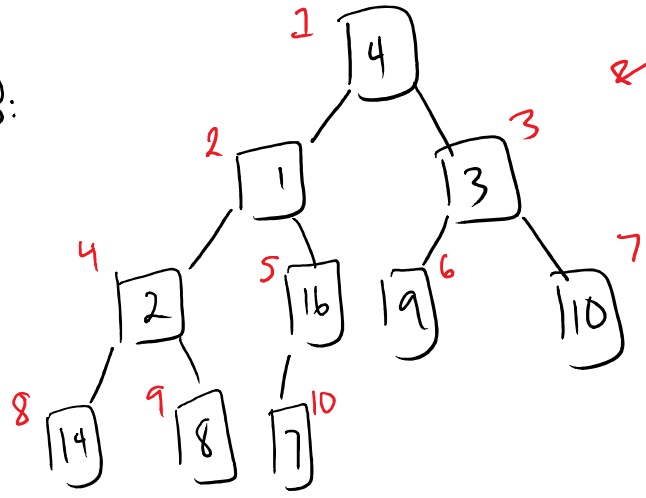
# Loop Invariant for Heapify

Max Heap:

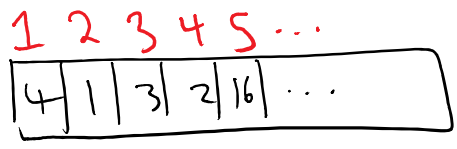


#  
//  
The key of each node is larger than all of its descendants

Before creating a heap:



In red: indices of array where heap is stored:



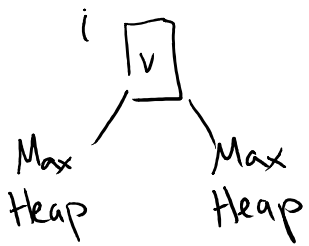
## Build-Max-Heap

for  $i = \lfloor A.length/2 \rfloor$  to 1  
 Max-Heapify(A, i)

All indices  $> \lfloor A.length/2 \rfloor$  are leaves

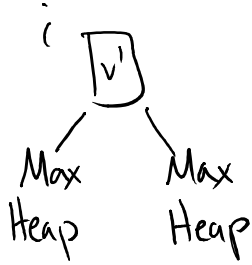
Max Heapify(A, i)

Input :



Full tree NOT max heap

Output:



Max heap

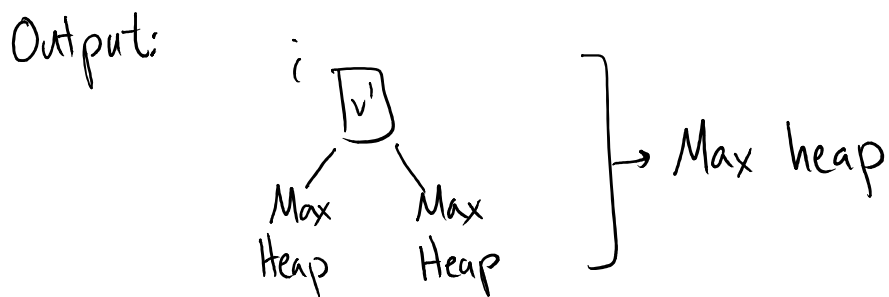
Prove Build-Max-Heap works correctly:

Invariant:

Initialization

Maintenance

Termination

Max Heapify(A, i)

Prove Build-Max-Heap works correctly:

Invariant: Indices  $i+1, i+2, \dots, n$  are roots of max heaps

Initialization

Indices  $\lfloor A.length/2 \rfloor, \dots, n$  are leaves, and trees with one node are max heaps.

Maintenance

By our invariant, the children of  $i$  are roots of heaps, so Max-Heapify creates heap at  $i$ . Now  $i, i+1, \dots, n$  are roots of heaps.

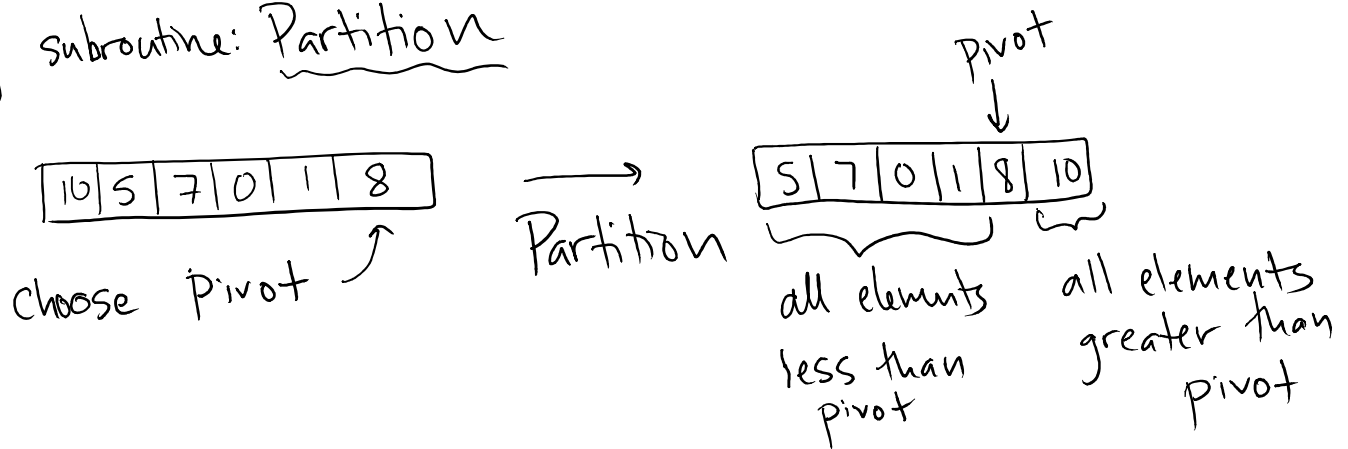
Termination

Loop ends at  $i=1$ , so indices  $1, 2, \dots, n$  are roots of heaps but in particular,  $1$  is a root of a heap, so the whole tree is a heap.

# Randomization in Recursive Algorithms

## QuickSort Review

Key subroutine: Partition



Input: Array  $A$  of length  $n$ , no repeated elements

Output: Array with sorted elements

QuickSort(array  $A$ )

1. If  $|A| = 1$ : return  $A$
2. pivot = ChoosePivot( $A$ )
3. Partition( $A$ , pivot)

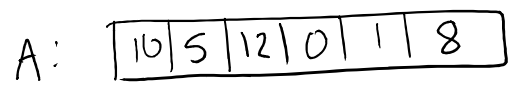


5. QuickSort( $A_L$ )
  6. QuickSort( $A_R$ )
- } conquer



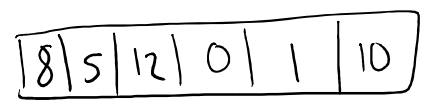
# Partition(A, p)

p = value of pivot

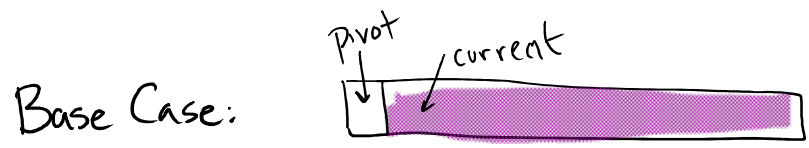
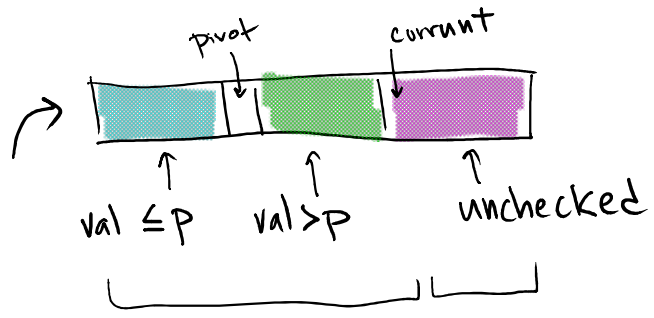


(0) If size = 1, return

(1) Move pivot to start



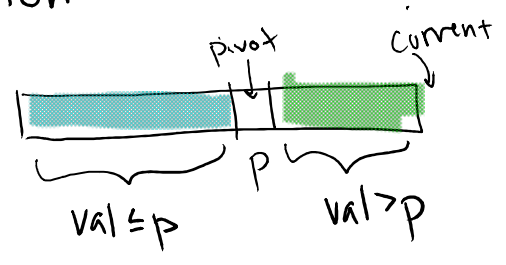
(2) Loop invariant:  
Array looks like



## Each step:

- compares current to pivot
- does swaps to maintain invariant ✓
- increases current

## Termination:



Runtime of Quicksort is  $O(\# \text{ of comparisons})$

Pf: Partition does most of the work, and runtime of partition is  $O(\# \text{ of comparisons.})$

Q: How many comparisons are done by Partition on input array of size  $n$ ?

A:  $O(\sqrt{n})$     B:  $O(n)$     C:  $O(n \log n)$     D:  $O(n^2)$

Q: What is the runtime of QuickSort when the pivot is always chosen to be  $(\frac{n}{2})^{\text{th}}$  largest element of array?

A:  $O(\sqrt{n})$     B:  $O(n)$     C:  $O(n \log n)$     D:  $O(n^2)$